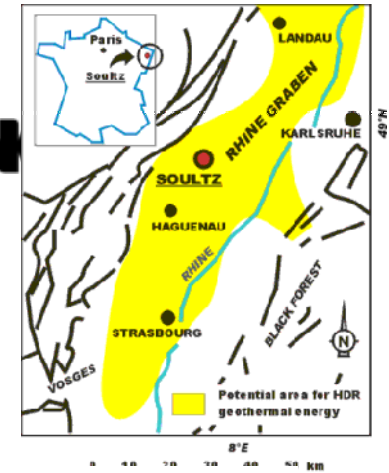


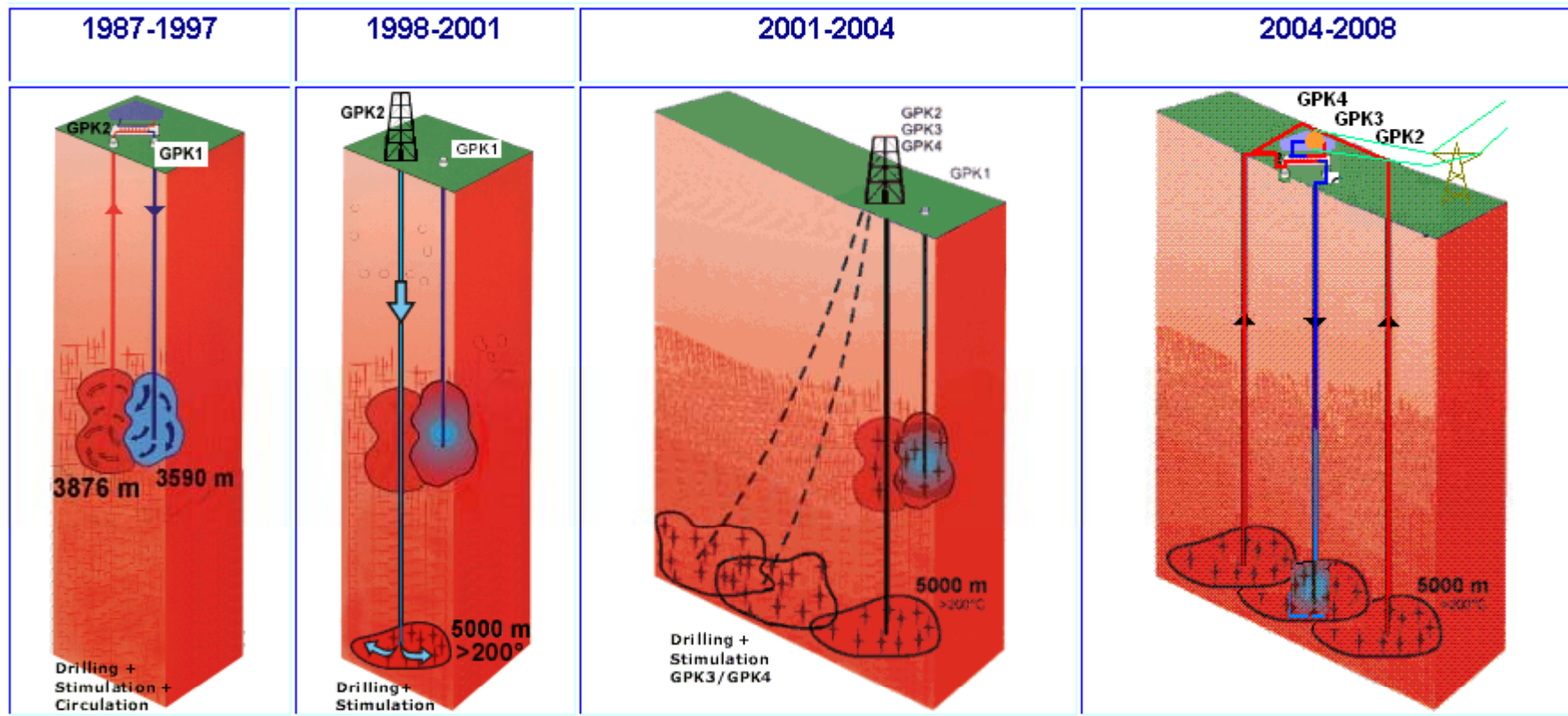
content

- Solicited events
- Best practices before Basel
- Unsolicited events
- GEISER
- Future directions



Enhanced Geothermal Systems

- EU research project > 20 years
- 3 wells > 5 Km deep
- Comprehensive Fracturing programme
- 3MW_{el} Power via ORC plant



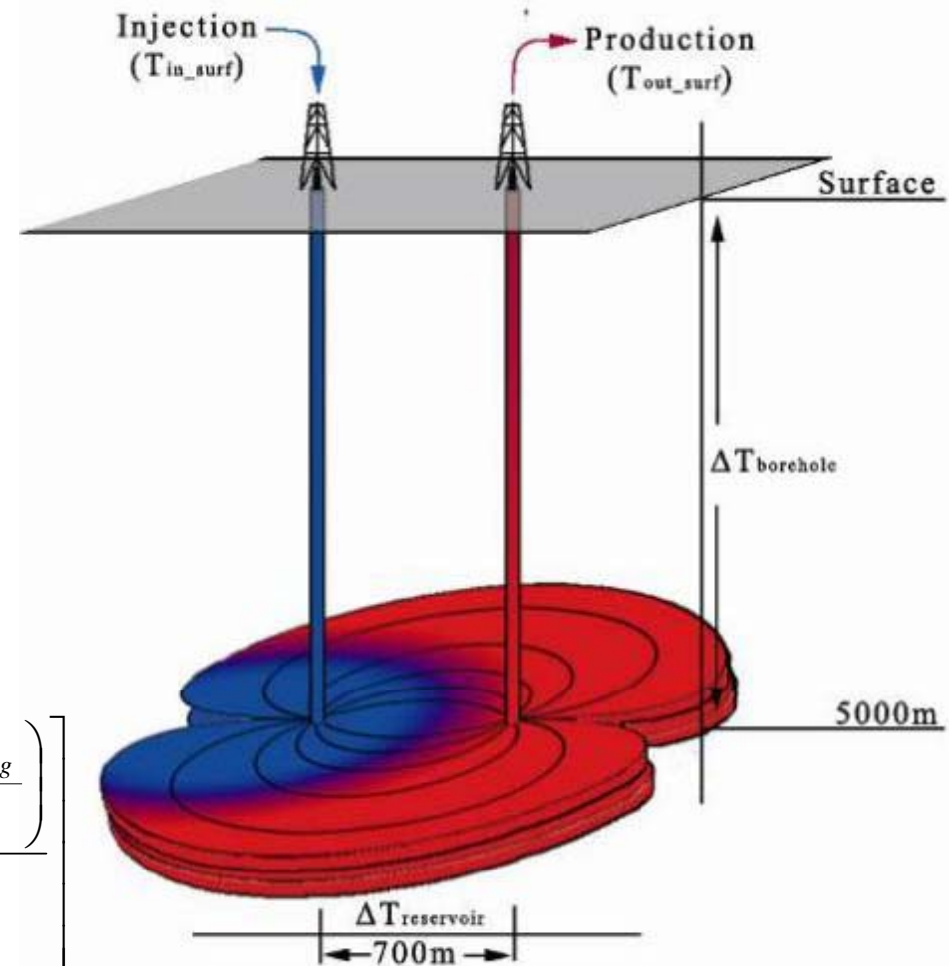
(www.soutz.net)

Subsurface Heat exchanger relies on fracture

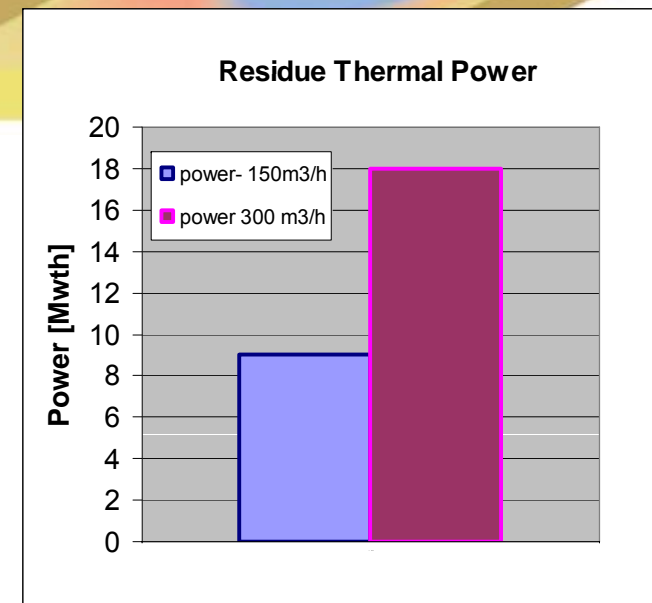
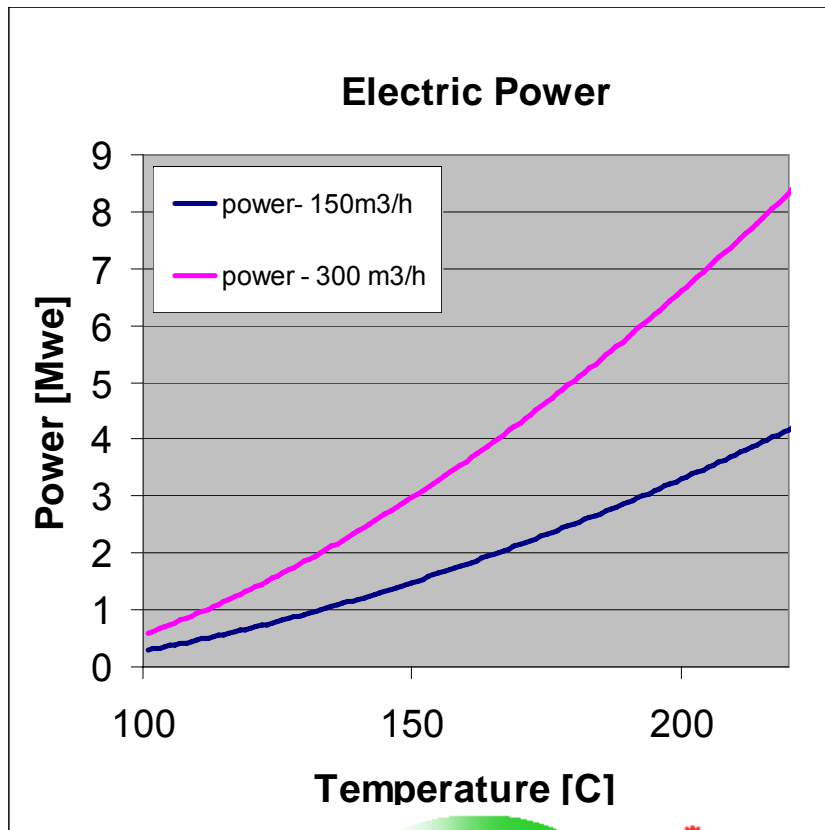
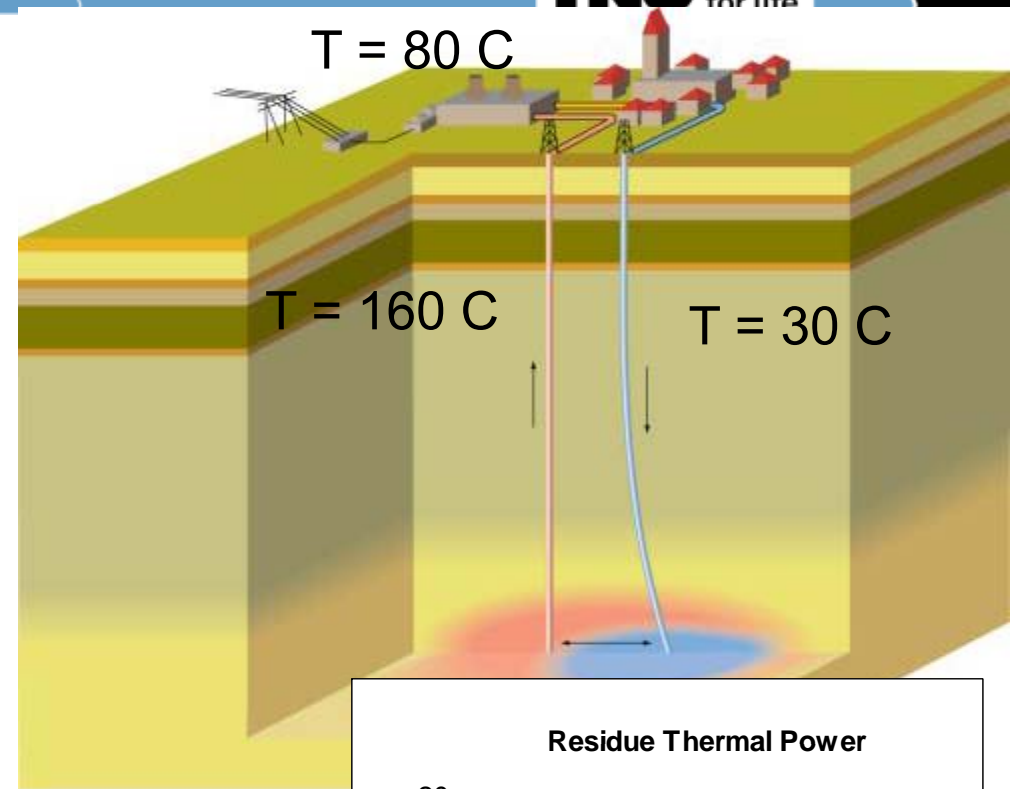
Streamline approach
for fracture flow (Pruess and Bodvarsson,
1983; Heidinger et al., 2006)

Area of fractures (A) and flow rate (Q) and Number of fractures (N) primarily relate to the sustainability in time of the high temperatures.

$$T_{out_res} = T_G - (T_G - T_{in_res}) \operatorname{erfc} \left[\frac{\frac{\sqrt{c_G \lambda_G \rho_G} \left(\frac{N \text{area}_{Seg}}{Q_{seg}} \right)}{c_F}}{\sqrt{t - t_{del}}} \right]$$



Electricity Production (T, flowrate, depth)



FAST ANALYTICAL MODEL for EGS, EXCEL

<http://engine.brgm.fr/DecisionSupportSystem.asp>

Microsoft Excel - egs_v7_dss_soultz.xls

Project Key Performance Indicators

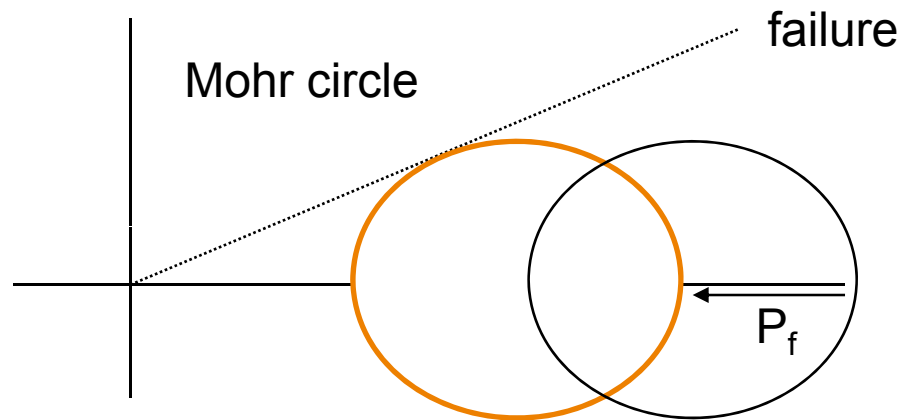
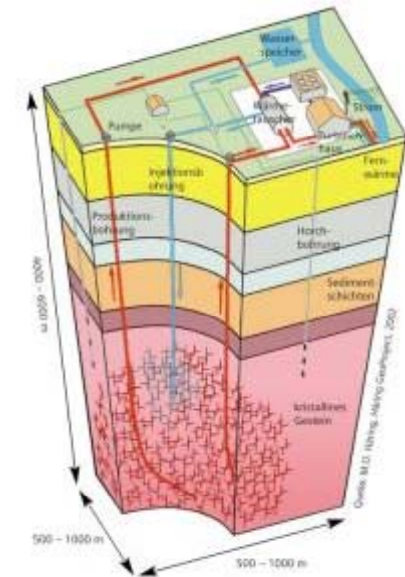
#REF!

Royalty = 0% & tax-deductible; Tax = 40%; Depreciation period = 10 yrs; Uplift = 1 yrs

KPI	Value	Unit	Comment
Technical ultimate geothermal recovery	753.2	GWe	not constrained
ultimate recovery produced economically	753.2	GWe	only constrained by "economic limit"
PV electricity sales	50.2	mln €	
PV Government Take @PV6%, ref 2007	5.0	mln €	
NPV@PV6%, ref 2007	0.2	mln €	
IRR	6.1%		IRR=-100% if NPV<0, result sometimes wrong
Maximum exposure (undiscounted CF)	-22.3	mln €	Max. undiscounted exposure in year 2008
Maximum exposure (discounted CF)	-21.9	mln €	Max. discounted exposure in year 2008
PIR undiscounted	0.55	ratio	
PIR discounted	0.01	ratio	
Unit Technical Cost (undiscounted cost/kWh)	0.10	€/kWh	
Unit Technical Cost (Pvcost/kWh)	0.06	€/kWh	
Unit Technical Cost (PVcost/PVkWh)	0.13	€/kWh	
Pay-out time (undiscounted cashflow)	12	years	
Pay-out time (discounted cashflow)	30	years	
Productive life of asset	>28	years	Still producing at end of evaluation period

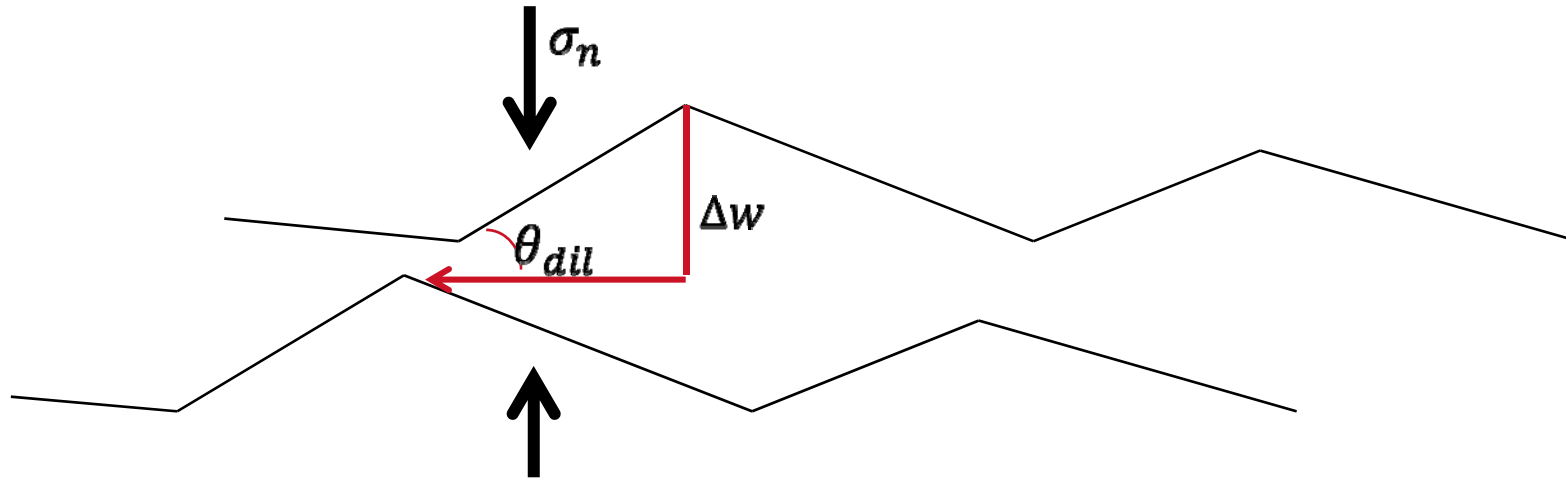
Mechanisms of induced seismicity in EGS

- › Mechanisms of shear failure (Majer et al., 2007)
 - › Pore pressure \uparrow Effective normal stress \downarrow
 - › Temperature $\downarrow \rightarrow$ contraction \rightarrow reduction of static friction
 - › Injected volume \rightarrow stress perturbation
 - › Chemical reaction may reduce coefficient of friction



Sollicited Induced seismicity

- › EGS operations relies on generating permeability through **shear fractures**.

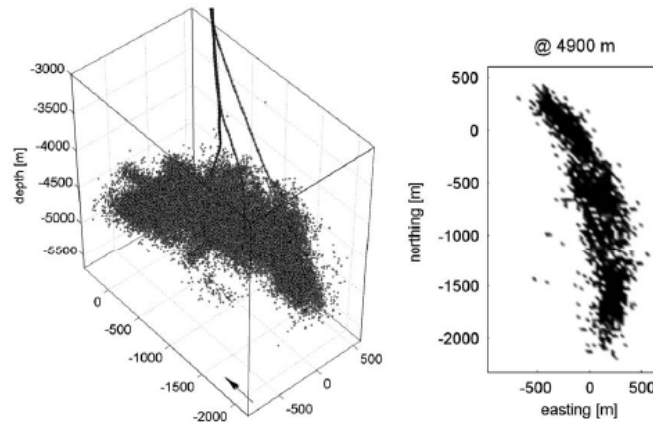


- › Through massive fluid injection typically 50l/s over various days

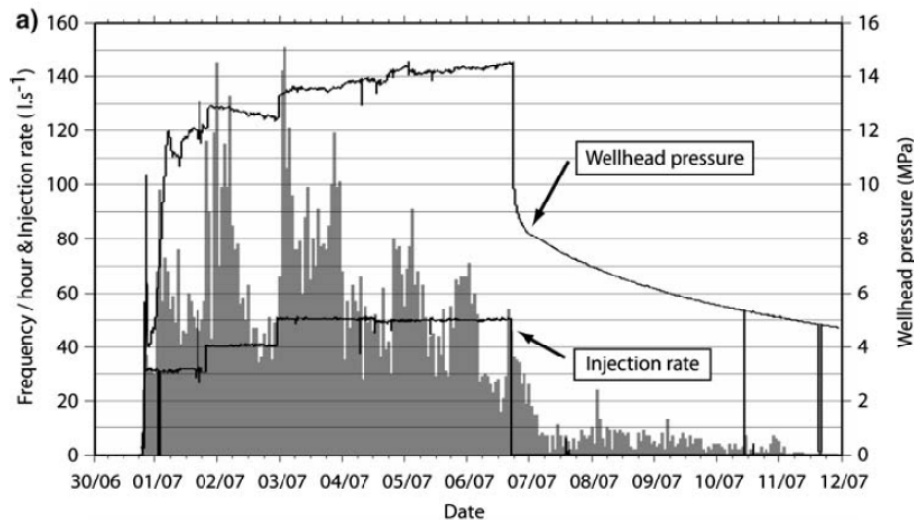


Basel injection rate

Seismicity in EGS reservoirs – related to shear faulting



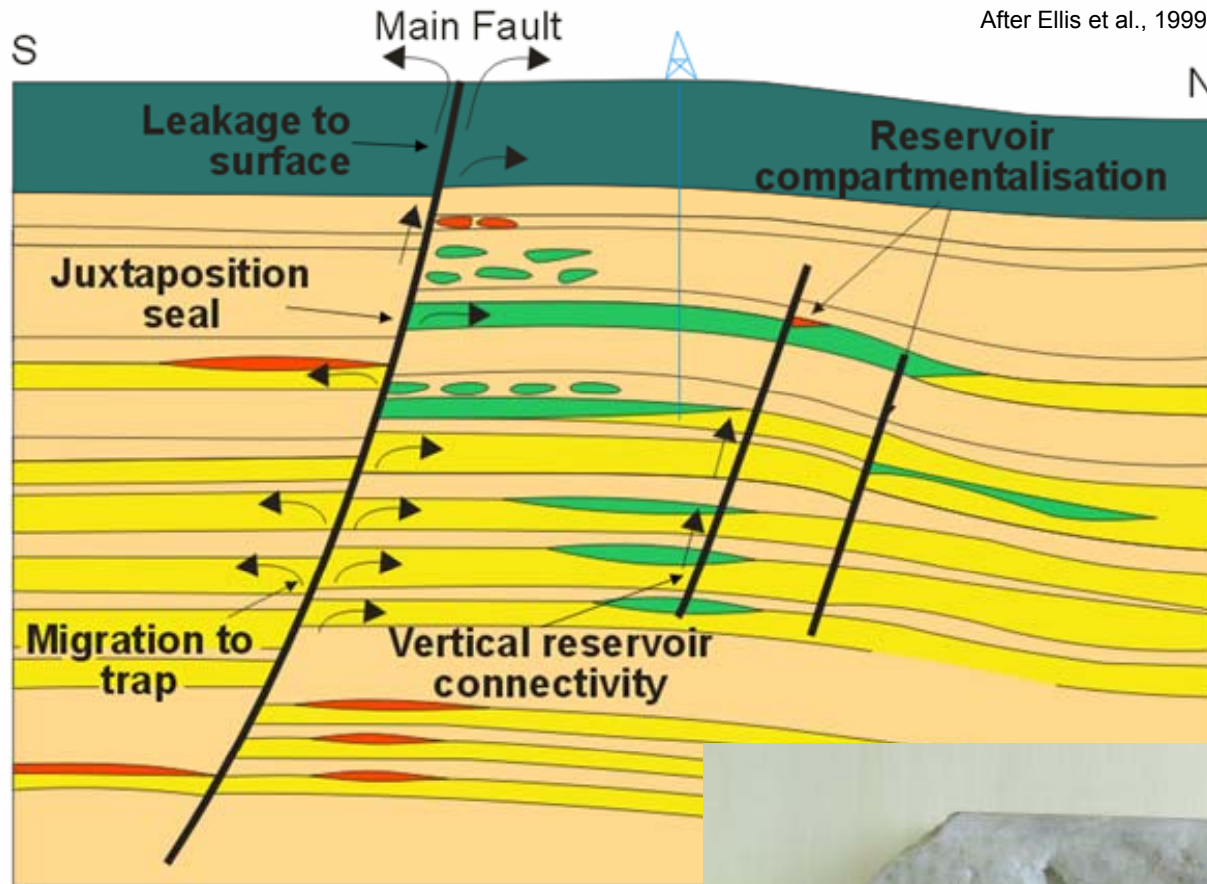
GPK 2 stimulation, Baisch et al., 2010



GPK 2, Cuenot et al., 2008

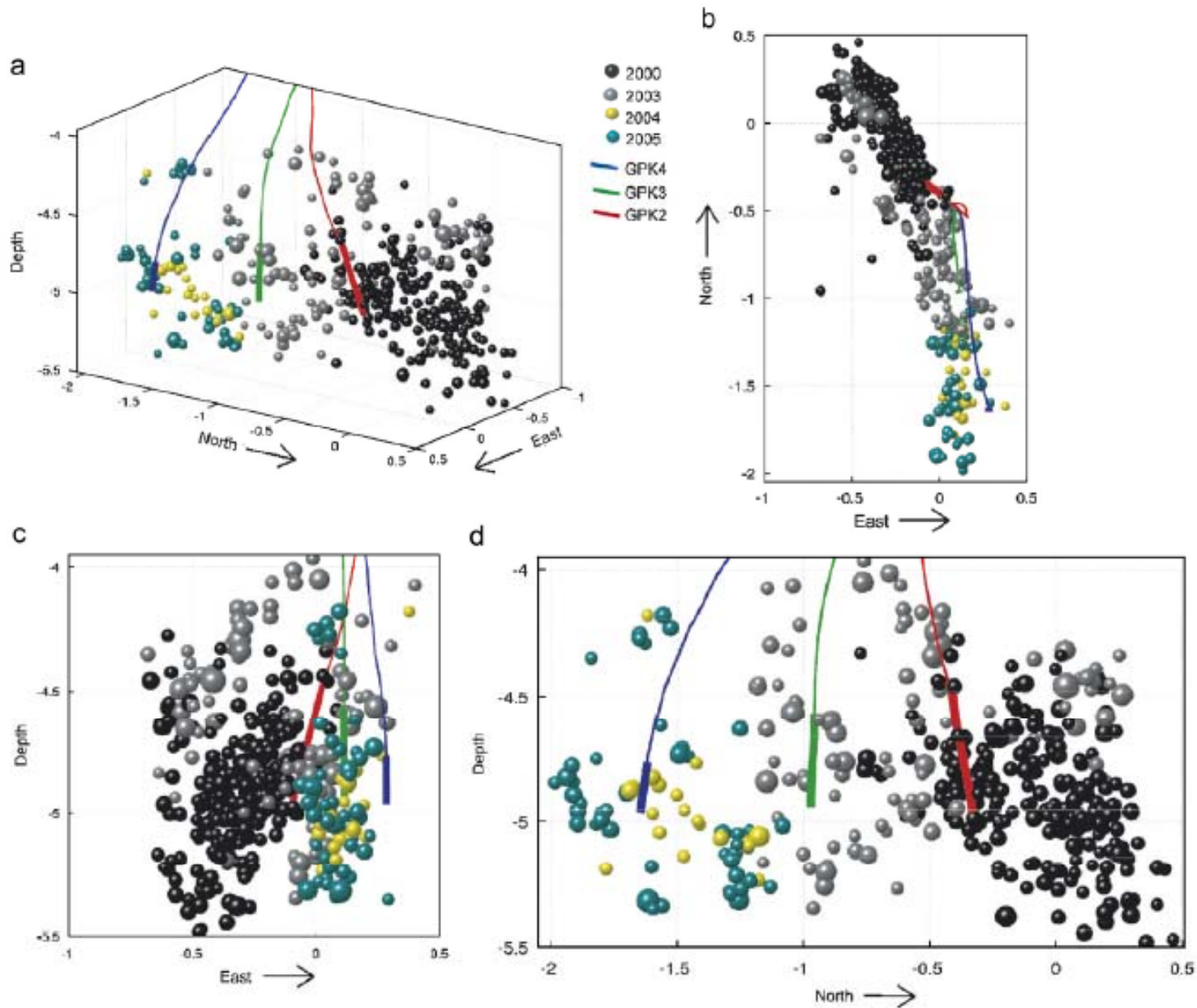
- › Starts immediately after injection
- › Cloud reaches ~100 m in a few days
- › Pre-existing tectonic features large influence (alignment of seismic cloud)
- › 100's -100,000's of events
- › 90% $M_L < 1$...
- › ... but a few 'large' events
 - › Soultz: M 2.9, Basel M 3.4
- › Seismic activity dependent on injection
- › After injection stops, seismicity decays gradually
- › Flow rates in the order of 20l/s

Active faults allow hydro-thermal conduit zones

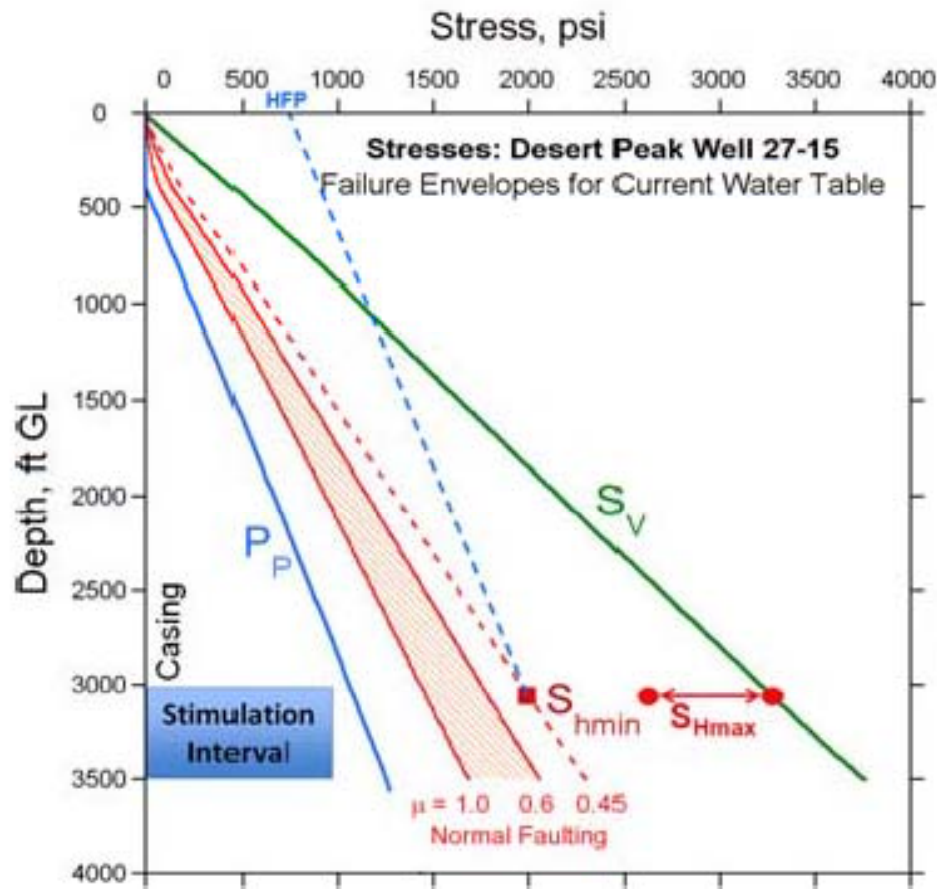


Soultz, core of fault zone
4 km depth (HAFZ)





Stress characterization (minifrac or Leak off pressure)

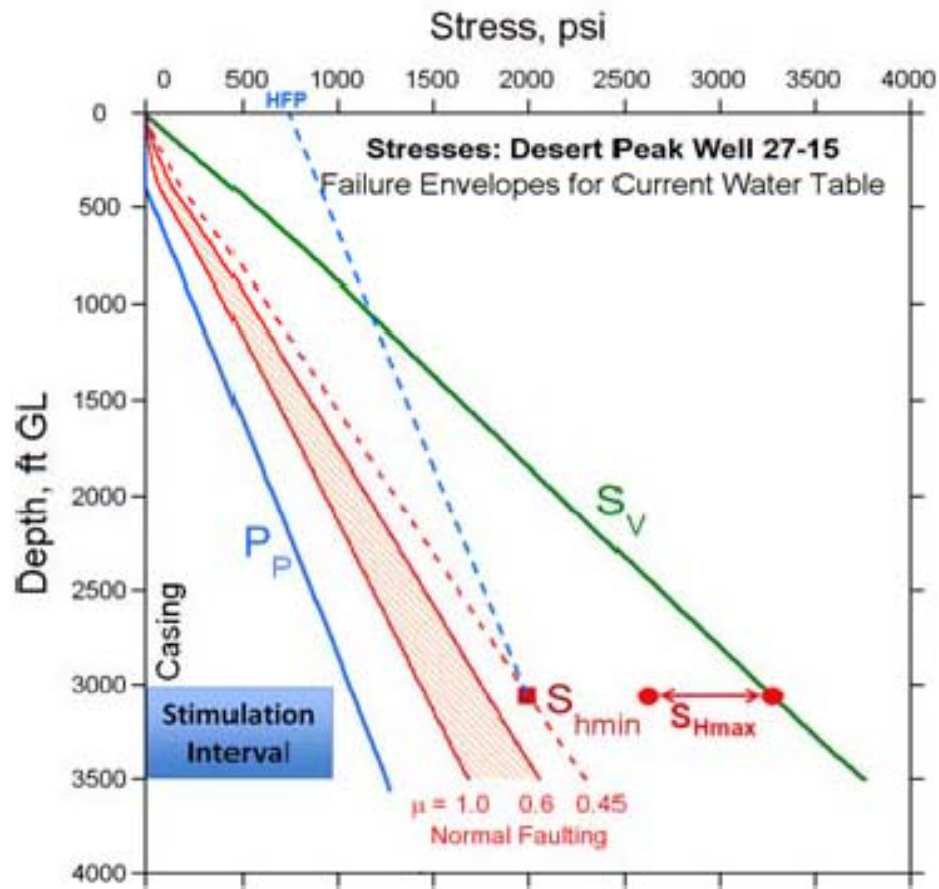


Extensional setting

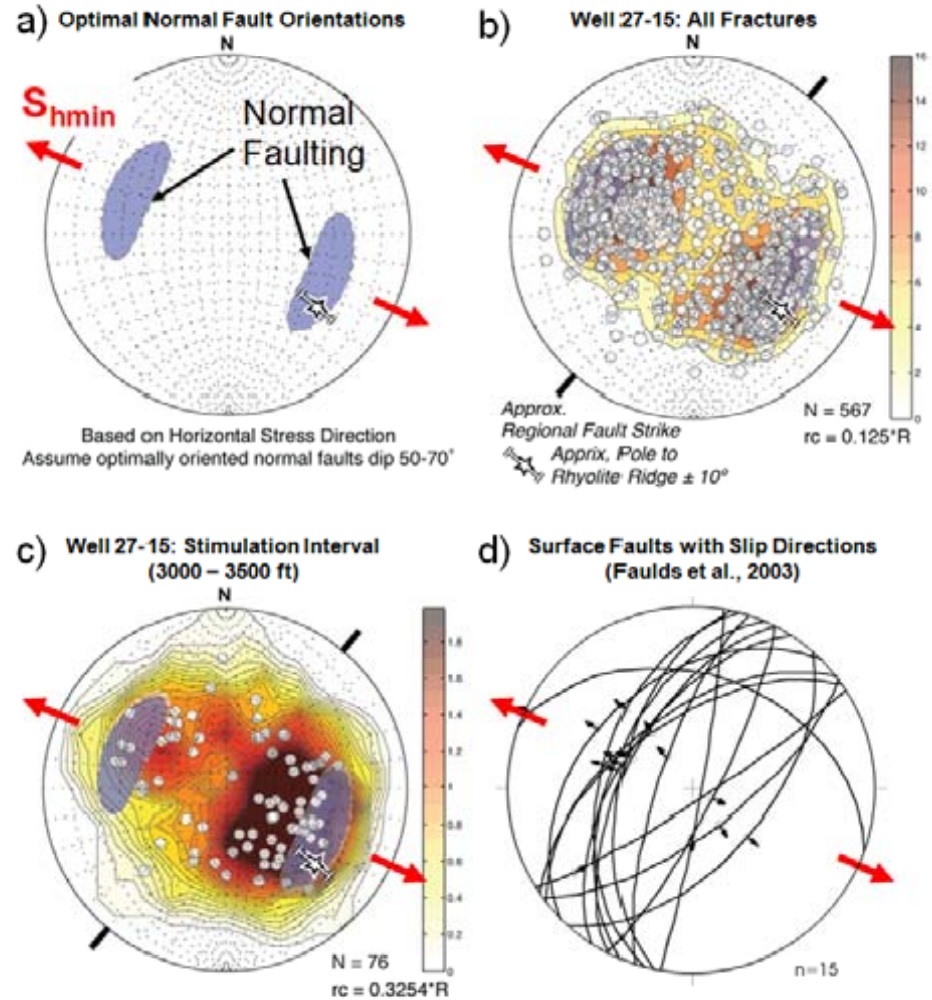
S_v = effective burial stress

Figure 3: Magnitude of the least horizontal principal stress, S_{hmin} , from the minifrac in well 27-15. The vertical stress, S_v , and formation fluid pressure, P_p , were calculated as described in the text. The dashed lines indicate the range of S_{hmin} at which frictional failure would be expected on optimally oriented normal faults for coefficients of friction, μ , ranging from 0.6 to 1.0. The dashed blue line is the borehole pressure (at ambient formation temperatures and fluid densities) at which a hydraulic fracture should start to propagate at the top of the planned EGS stimulation interval, corresponding to a wellhead hydrofrac pressure (HFP) of ~750 psi.

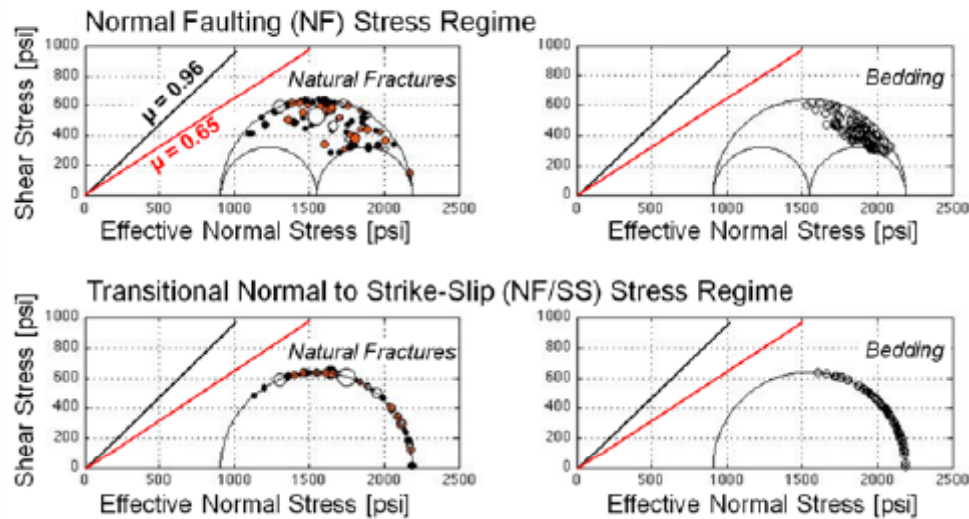
Stress characterization (minifrac)



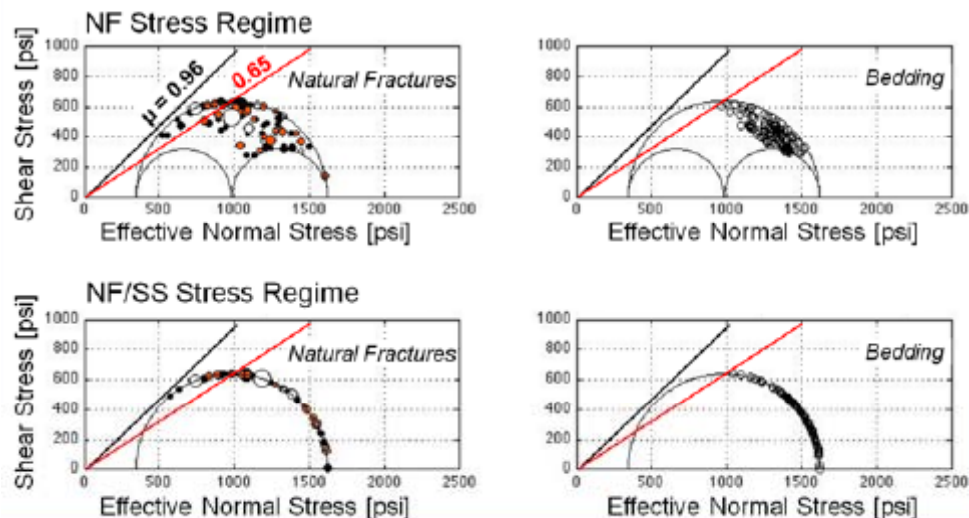
fracture characterization (outcrop, fmi)



a) Current Water Table



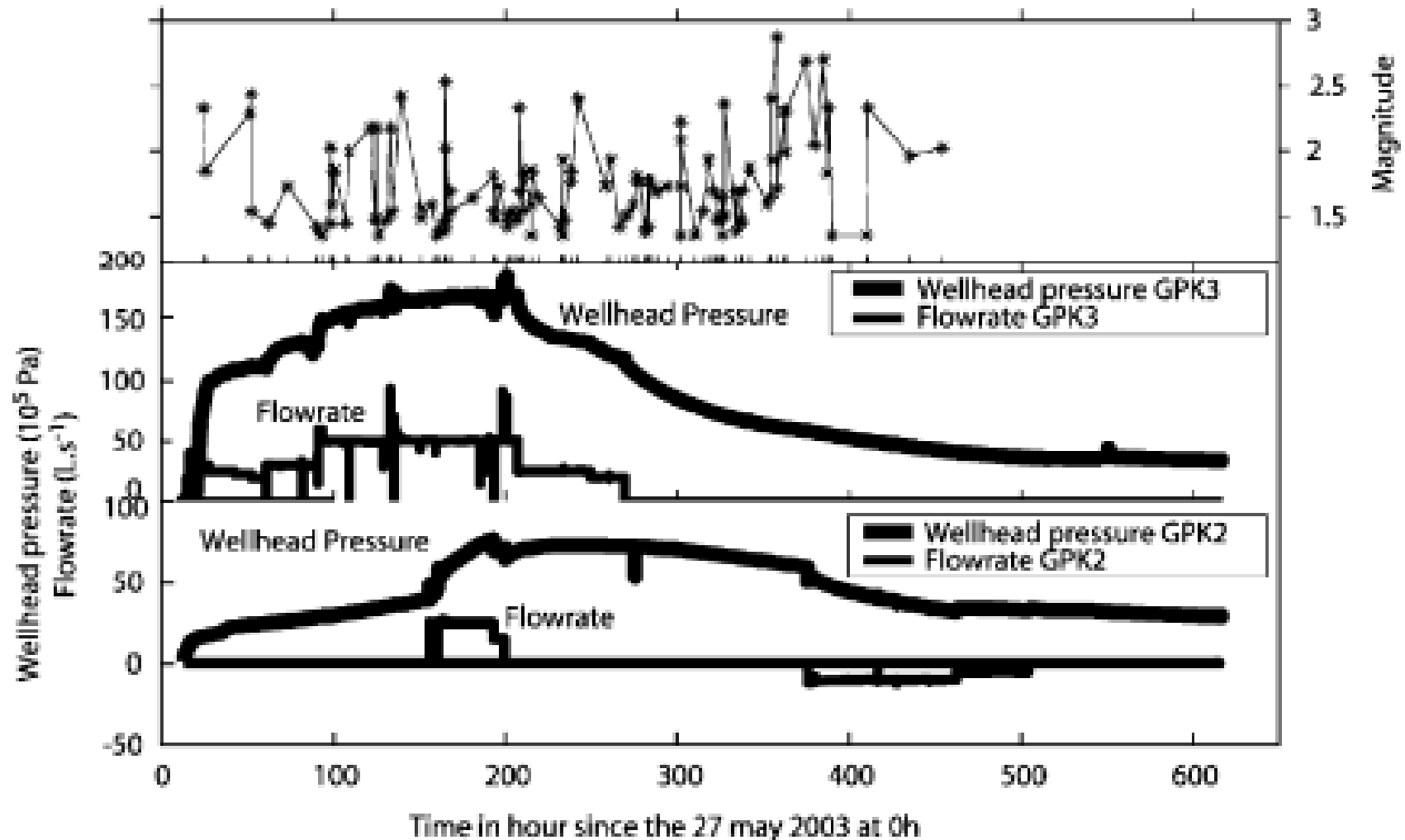
c) Wellhead Pressure 400 psi

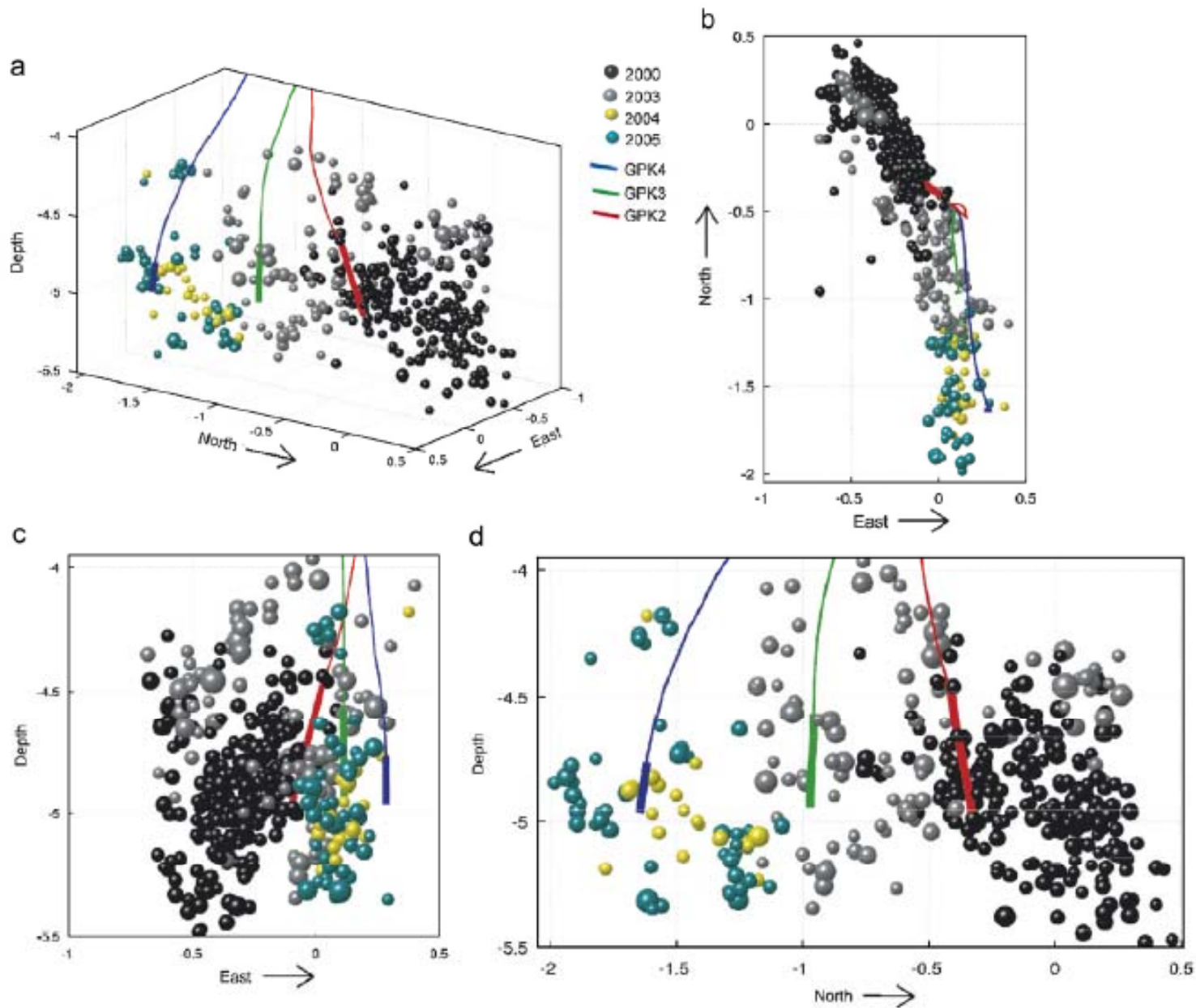


At current fluid pressures, analysis of the propensity for frictional failure shows that both natural fractures and bedding/foliation should be frictionally stable within the planned stimulation interval, for either a NF or NF/SS stress regime (Figure 5a). This agrees with the previous observation that differential stress ($S_v - S_{hmin}$) at this location is too low to result in pervasive frictional failure on optimally oriented normal faults for typical laboratory friction values (Figure 3). However, as the ambient water level in well 27-15 is raised and wellhead pressures increased from 200 to 400 and finally to 600 psi, this analysis shows that more and more fractures within the stimulation interval fall within the frictional failure envelope bounded by the lines for $\mu = 0.65$ and 0.96 (Figures 5 b, c and d). By the time a wellhead pressure of 600 psi is reached, a significant number of the natural fractures within the stimulation interval fall within or beyond this failure envelope (Figure 5d), suggesting widespread frictional failure. By plotting the ratio of shear to effective normal stress on fractures as a function of depth for a wellhead pressure of 600 psi, it can be seen that the greatest density of fractures with a high tendency for slip (especially large-aperture fractures) exists within the siliceous rhyolites above about 3300 ft GL (Figure 6).

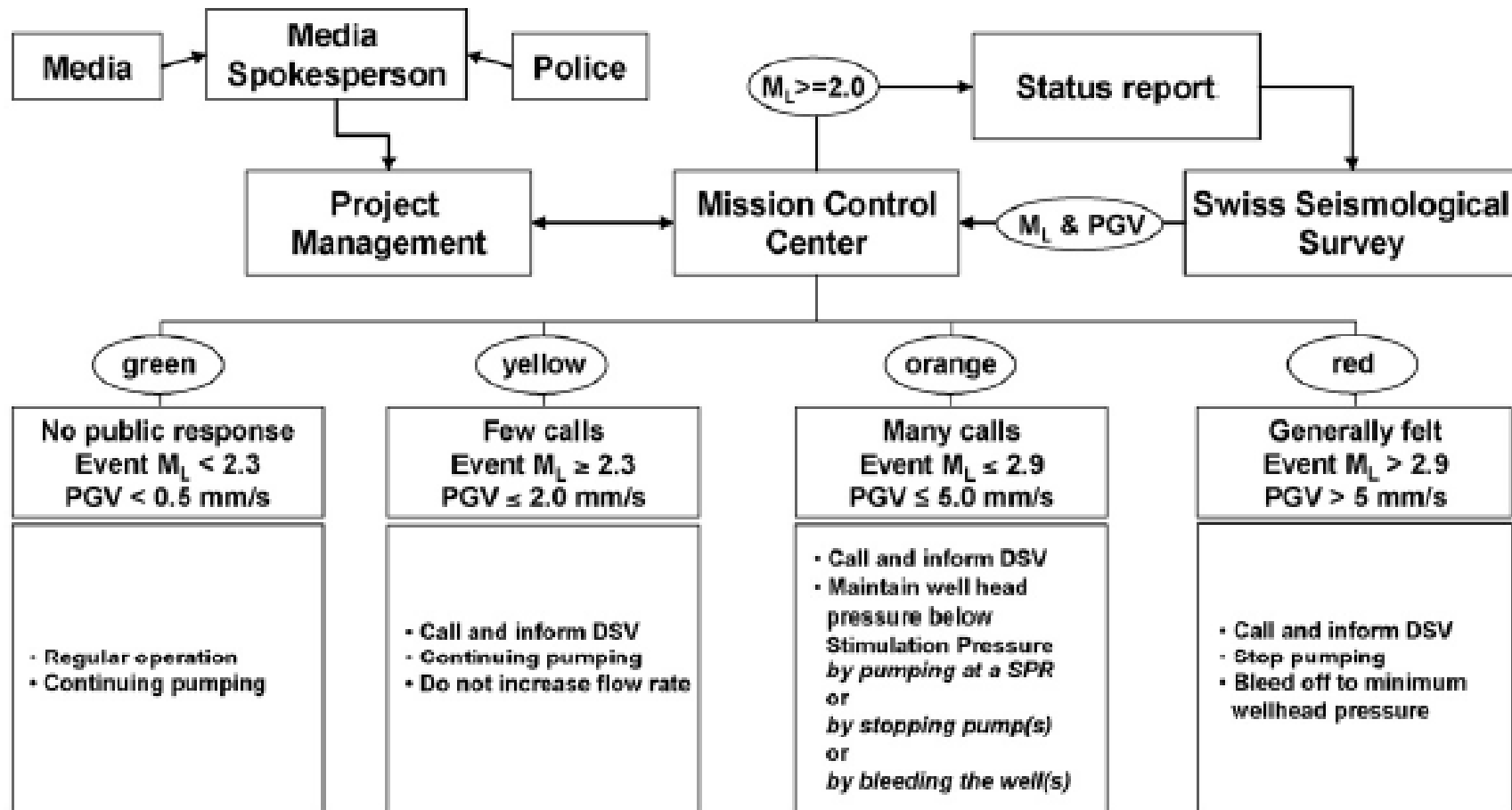
Soultz – maximum Magnitude 2.9 in GPK3 stimulation

b



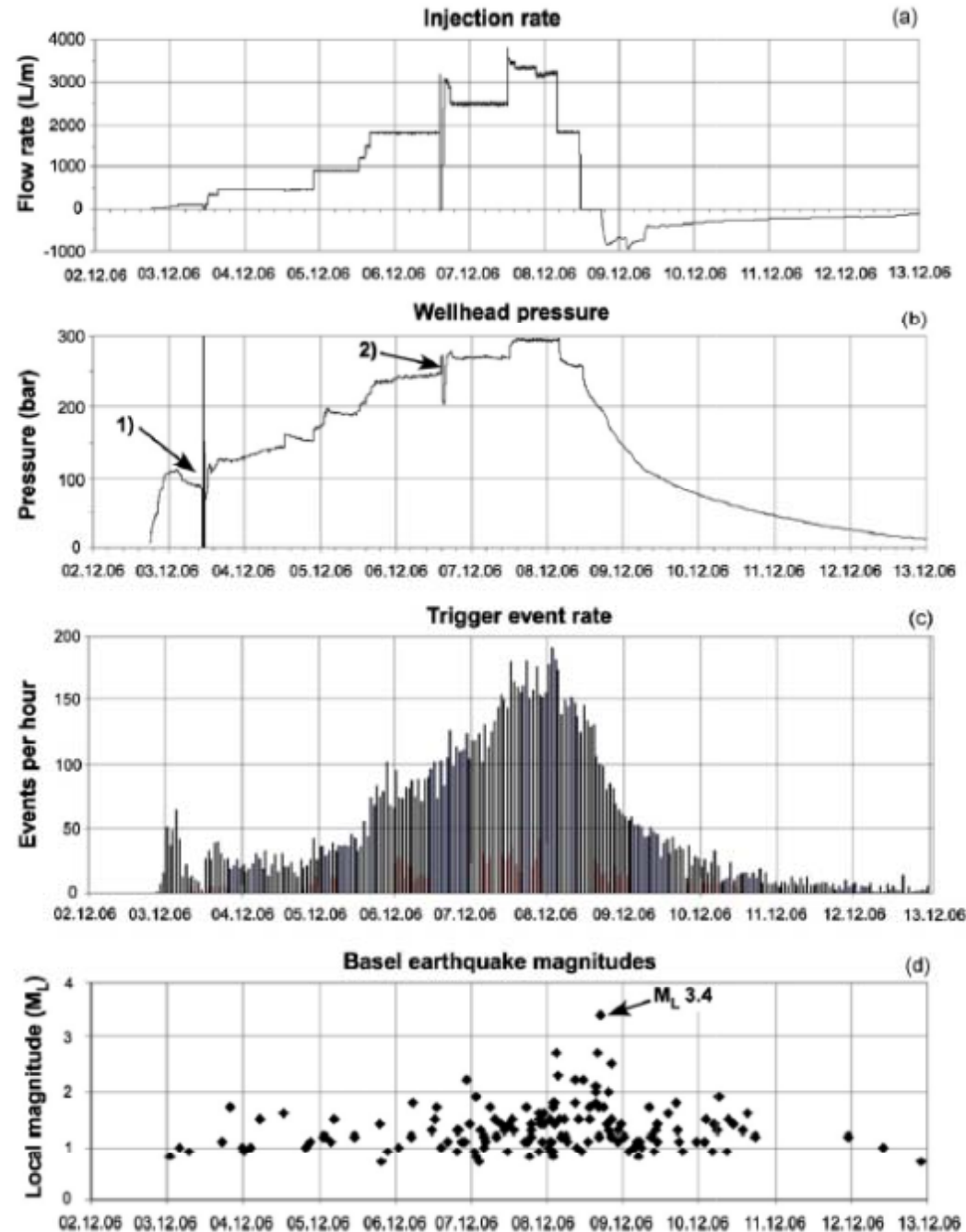


(Static) Traffic light system base



BASEL

- › Very similar as Soultz
- › However $M_L > 2.9$ so shut-in
- › After shut-in $M_L = 3.4$ occurred



This posed a major problem.....

- Over 6 mln damage claims
- Project developer had promised $M_L < 3$
- Largest historic EQ north of the alps (estimated $M=7$) occurred close to Basel in 1356 and destroyed the city

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News | Sport | Comment | Culture | Business | Money | Life & style | Tr

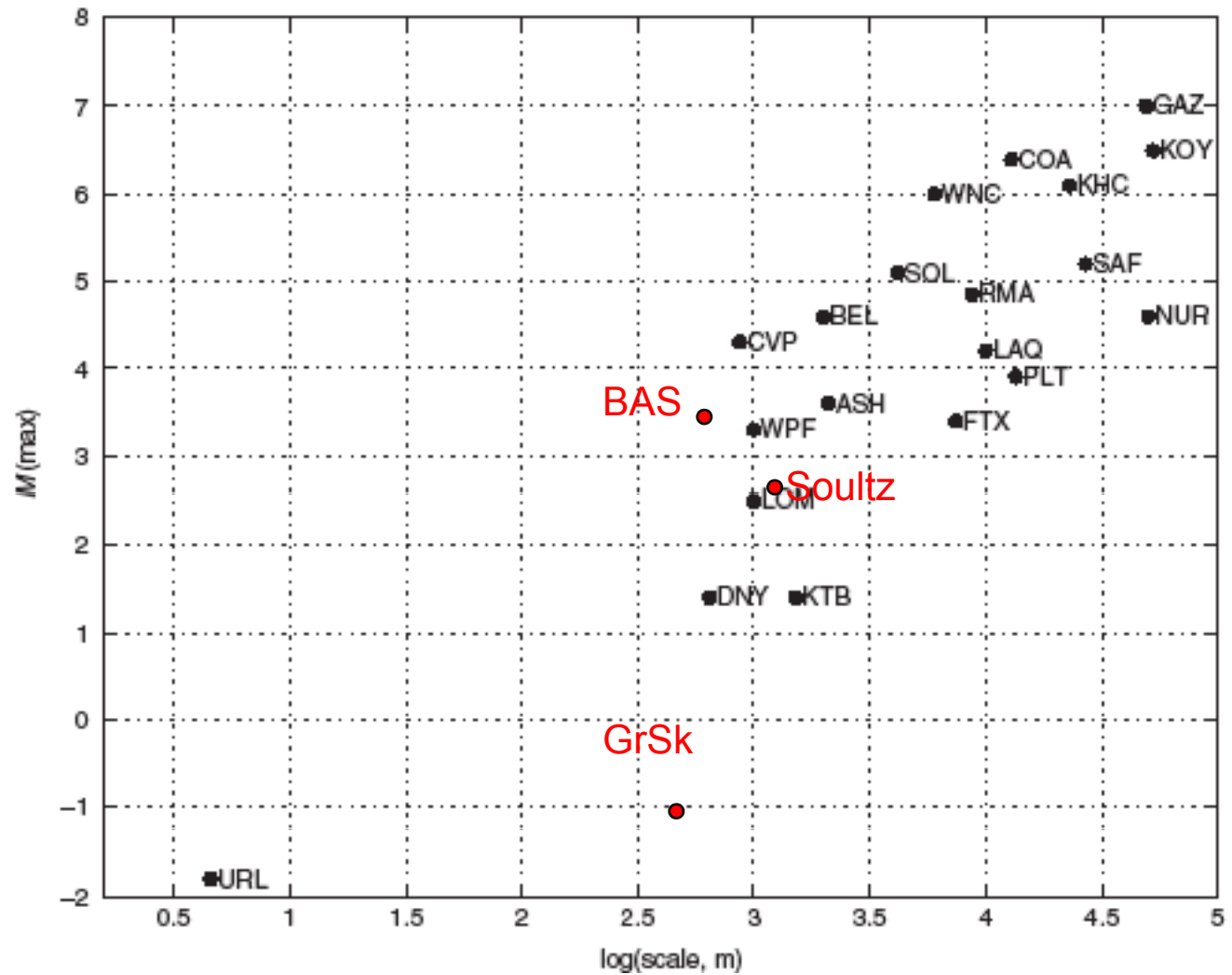
News > World news > Switzerland

Swiss geothermal power plan abandoned after quakes hit Basel

Designer of 'hot rocks' scheme under city on a fault line could face jail over damage to property

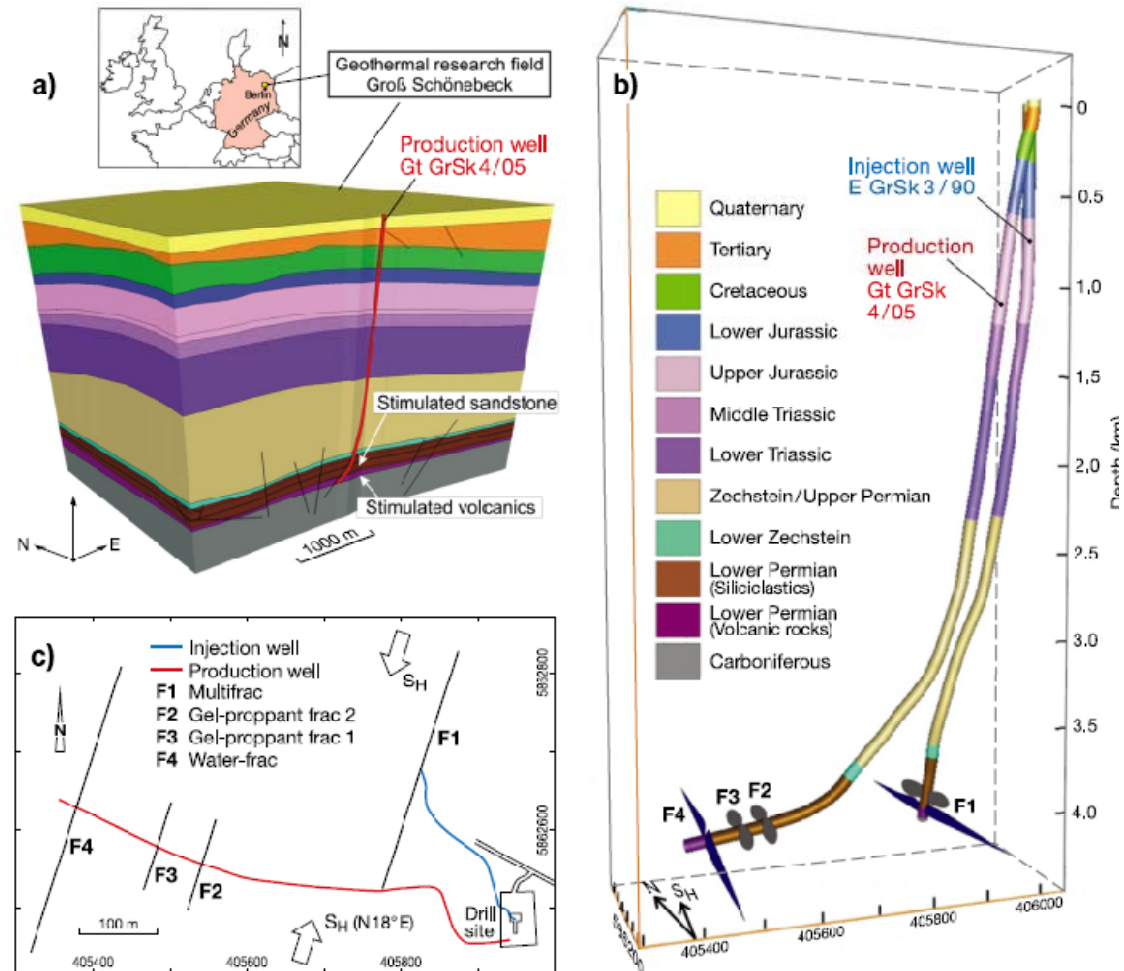


Can we make more reliable EGS?

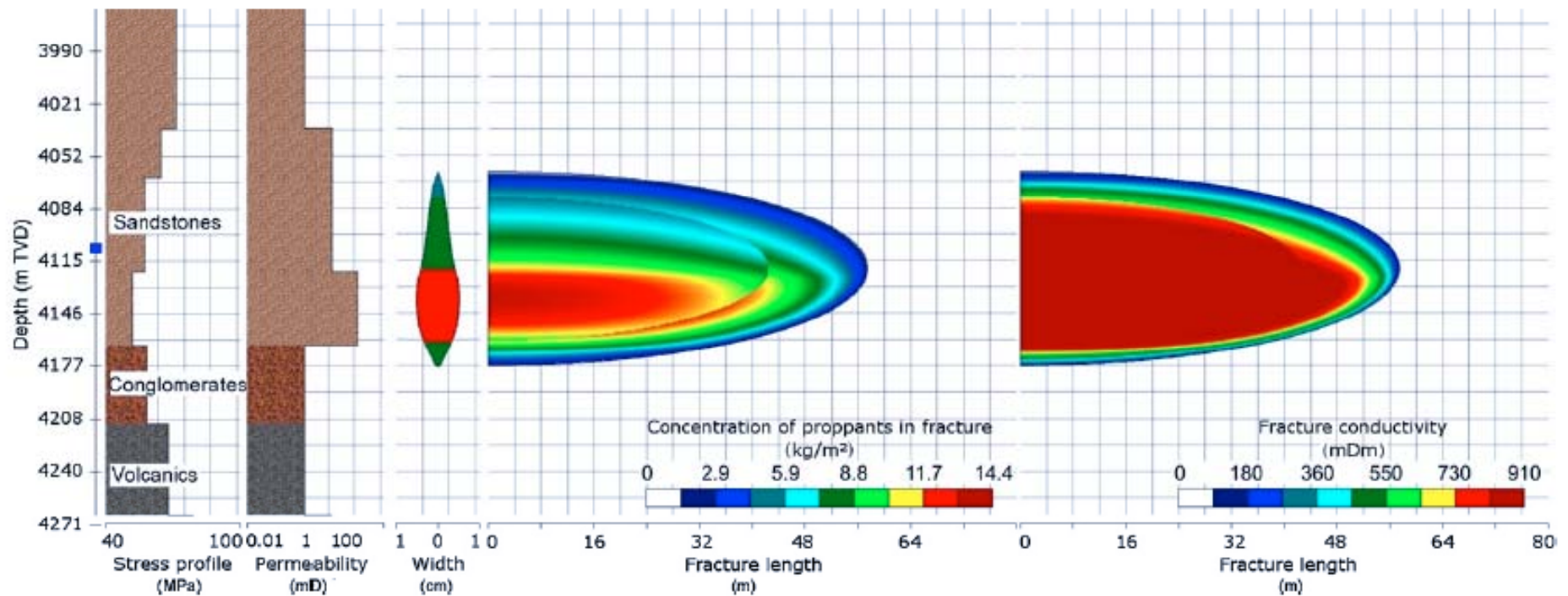


The stress magnitudes in the Dethlingen sandstone at 4.1 km depth were determined to be $S_V=78 - 100$ MPa from density logs, $S_H=98$ MPa (at N18E) estimated from transitional form of stress regime from normal faulting to strike slip faulting, and $S_h=55$ MPa from leak-off tests in both wells. In the volcanic section, mainly the minimal principal horizontal stress is different and is equal to $S_h=72$ MPa.

During stimulation, the strongest microearthquakes (with $M_w \leq -1$) occurred on a pre-existing fault, which theoretically was relatively critically stressed. The strike and dip of this fracture plane are $17^\circ \pm 10^\circ$ and $52^\circ \pm 10^\circ$ SE respectively.



G. Zimmermann, A. Reinicke / *Geothermics* 39 (2010) 70–77



Geothermal Engineering Integrating Mitigation of Induced **SE**ismicity in Reservoirs

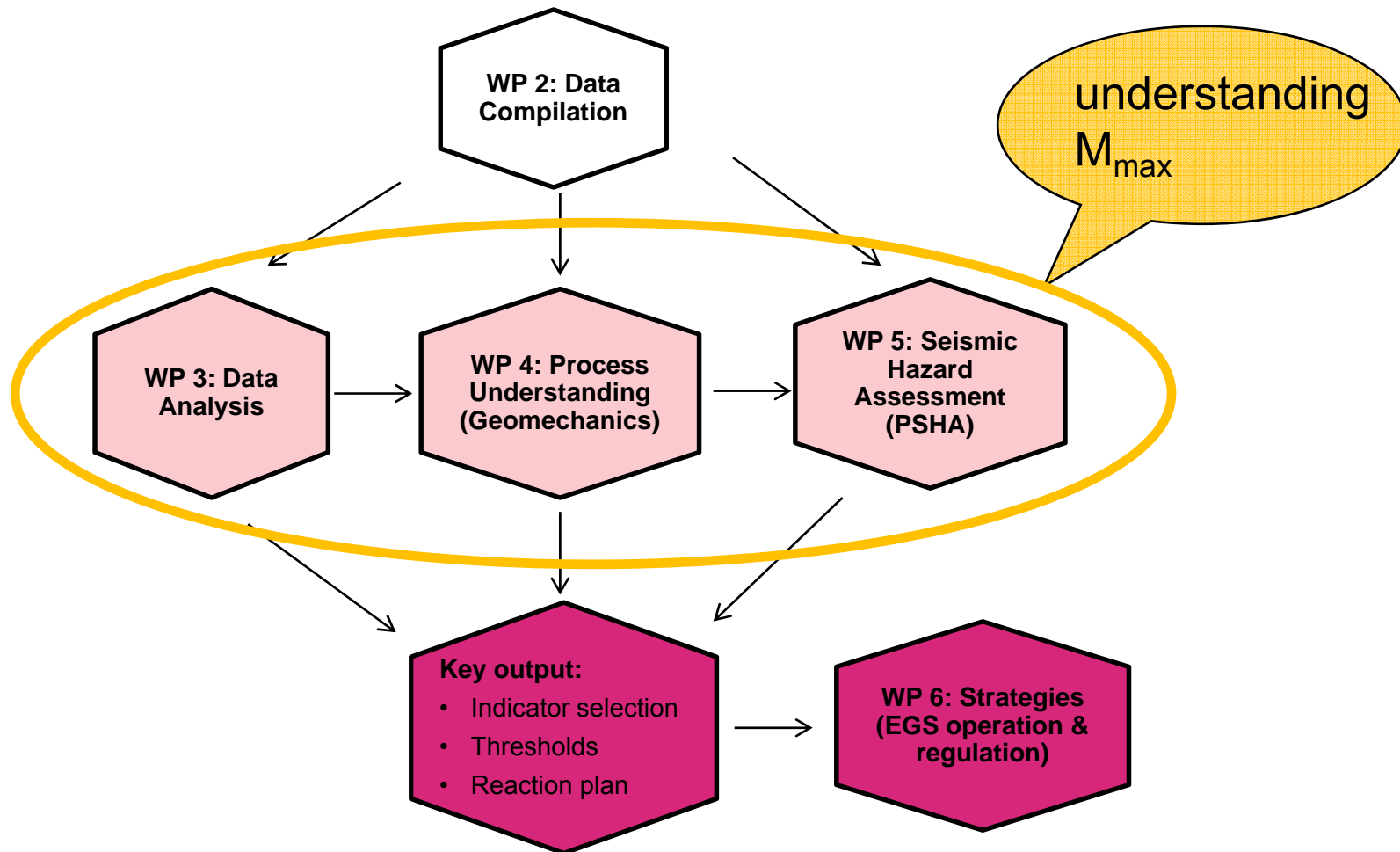
The European **GEISER** project

David Bruhn (GFZ Potsdam)

*Ernst Huenges (GFZ), Kristjan Agustsson (ISOR), Arno
Zang (GFZ), Xavier Rachez (BRGM), Stefan Wiemer
(ETH), Jan Diederik van Wees (TNO) & Philippe
Calcagno (BRGM)*

GEISER Project

Workflow: Understanding Induced Seismicity

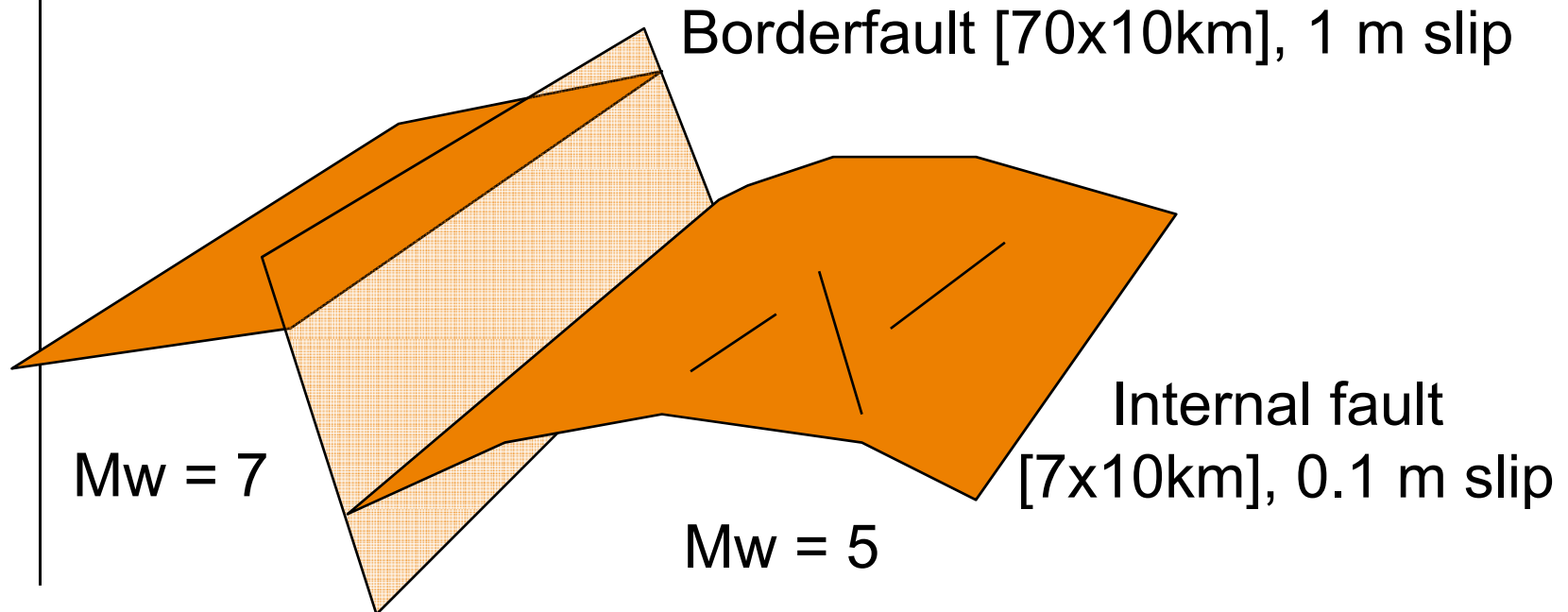




What is the relation with magnitude and surface slip

› Hanks and Kanimori (1979)

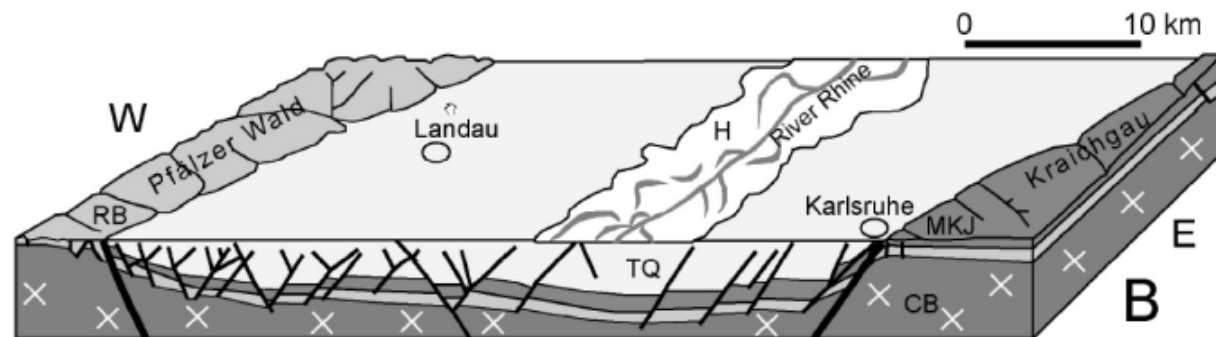
$$M_w = 0.67 \log(M_0) - 10.7$$



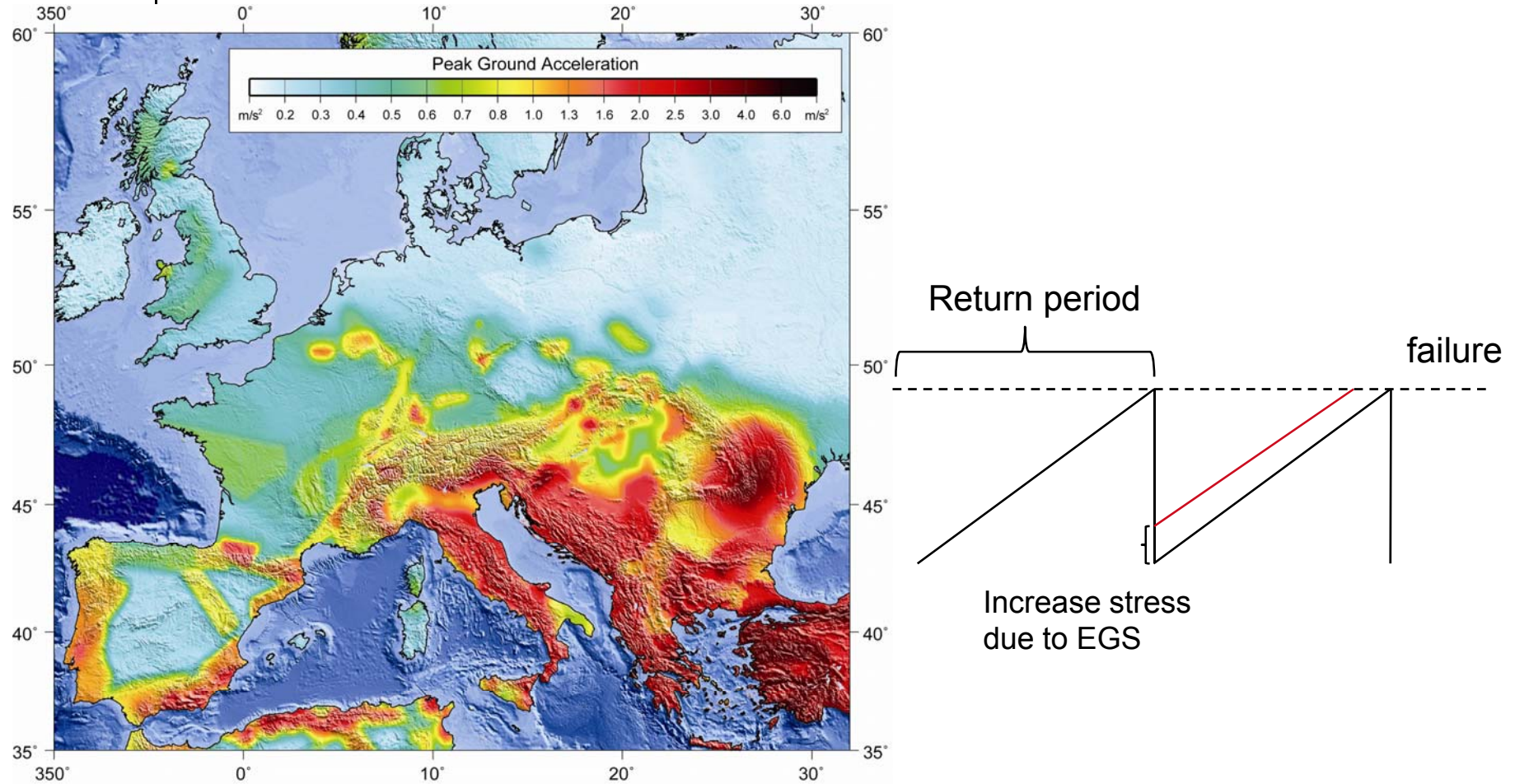


- M=7, 70x10 km fault, 1m displacement
- M=6, 30x5 km fault, 0.4m displacement
- M=5, 15x5 km fault, 0.15m displacement

Big earth quakes
Located at
Mapped Major faults

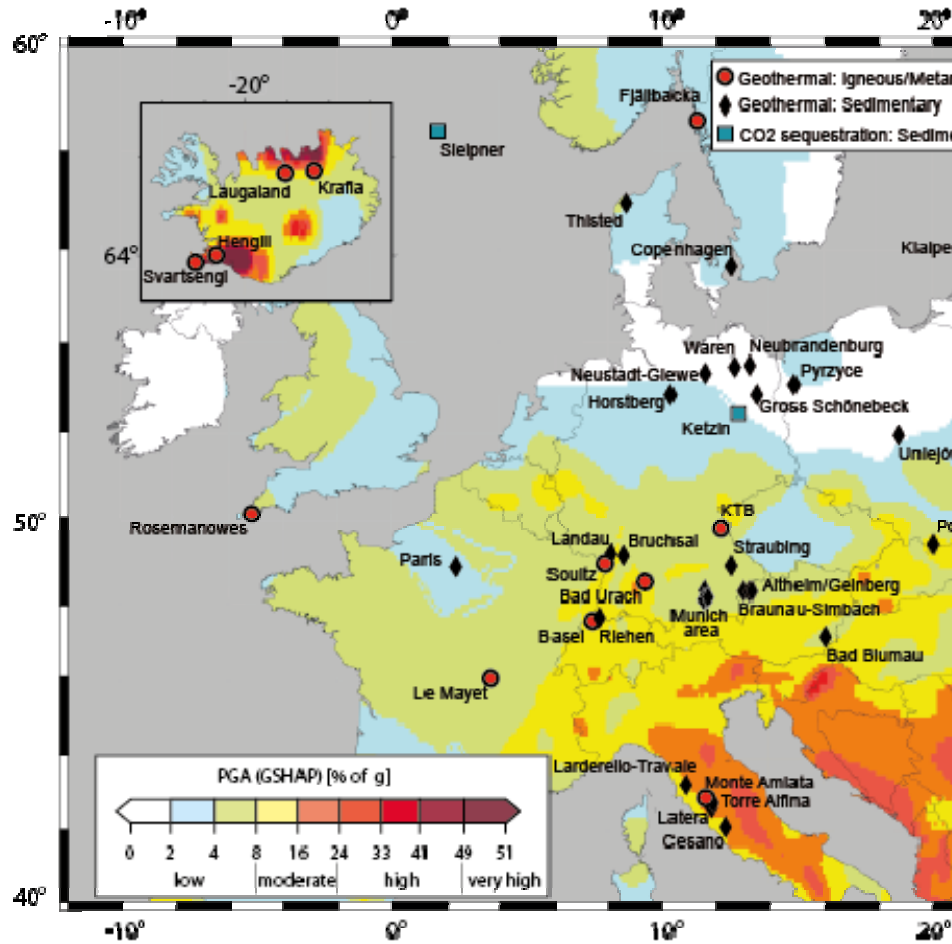


→ stay away from seismically active faults

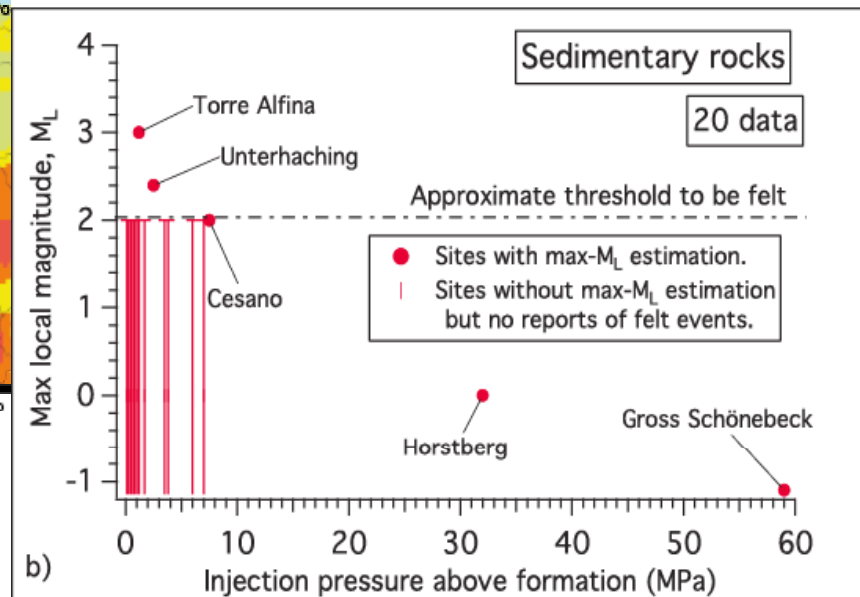
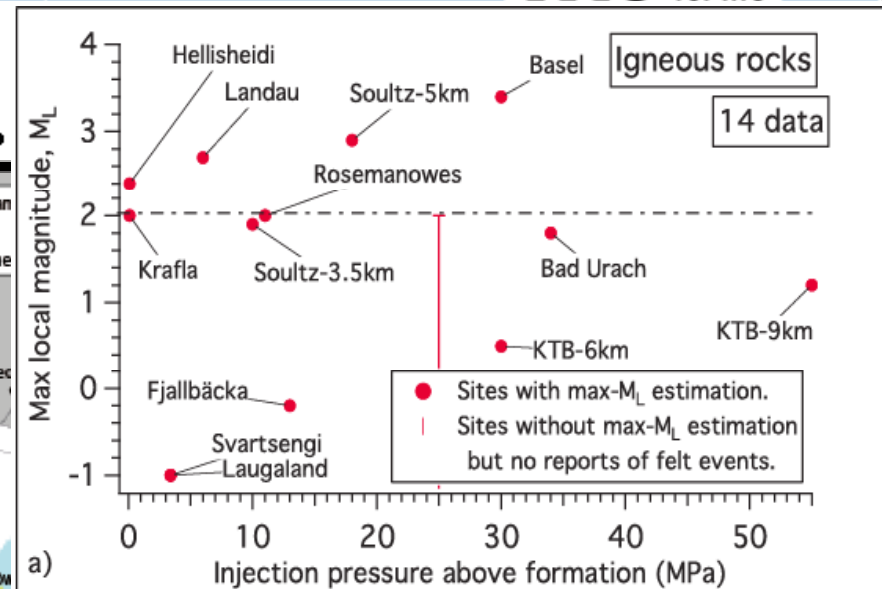


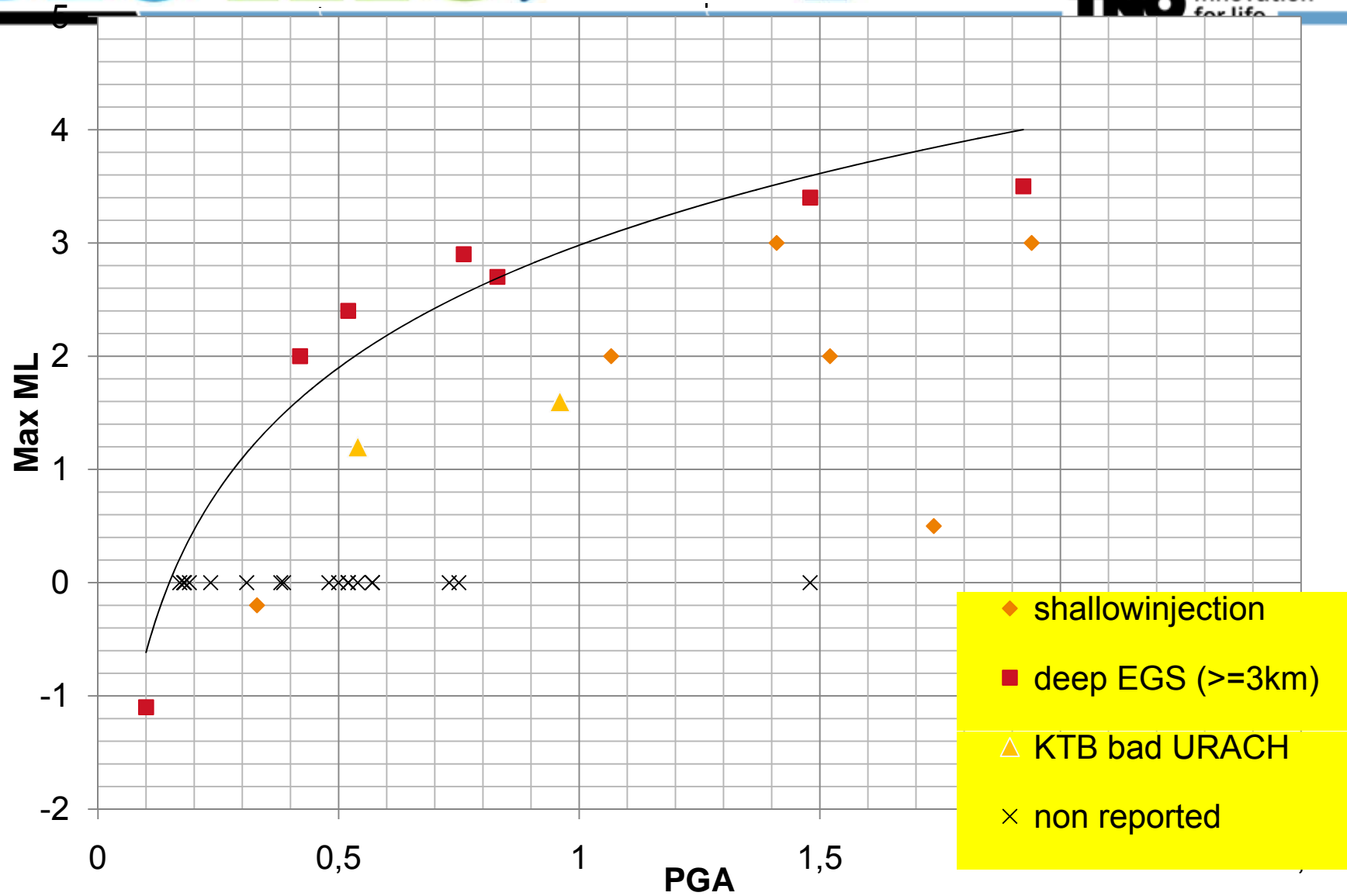
Seismic Hazard Map of Europe as part of the Global Seismic Hazard Map (Giardini et al., 2003; Grünthal et al., 1999). The map depicts the seismic hazard as Peak Ground Acceleration (PGA, m/s^2) with 10% probability of exceedence (or a 90% chance of non-exceedence) in 50 years, corresponding to a return period of 475 years (source GFZ, Oliver Heidbach)

Data analysis



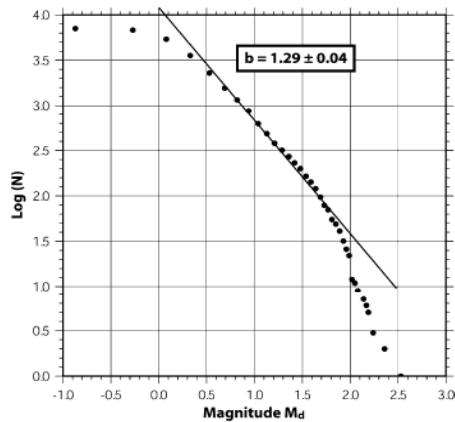
Evans et al., GJI, 2011





Descriptive models used in seismology

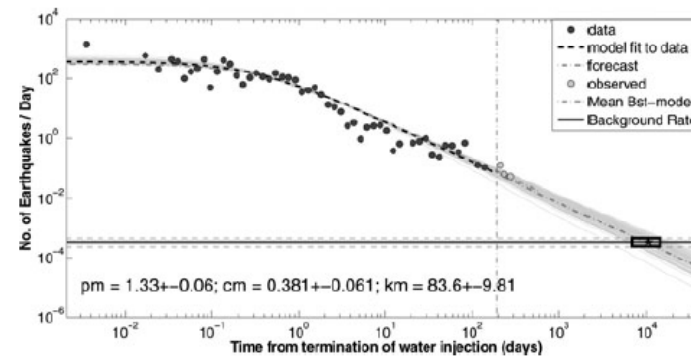
› Gutenberg-Richter law



$$\log N(m_i > m) = a - bm$$

- › *b*-value indicates the ratio of small to large events, *a* is a measure of the productivity

› Omori-Utsu law (for aftershocks)



$$\lambda(t, M_c) = \frac{k(M_c)}{(t + c)^p}$$

- t* time since mainshock, *c* & *p* empirical parameters, $k(M_c)$ function of number of events with $M > M_c$

Both laws observed in EGS. They are used in statistical analysis

The Epidemic Type Aftershock Sequence (ETAS)

- › Each event triggers its own child-events (Ogata, 1988).
- › Aftershock rate of an event → Omori-Utsu
- › Number of aftershock related to M mainshock
- › Aftershock distribution Gutenberg-Richter

-Background seismicity λ_0 + sum of rate of aftershock λ_i of an event with magnitude M_i at time $t > t_i$ (self-exciting point process)

- c and p parameters from the Omori-Utsu law

- K and α describe productivity of the sequence

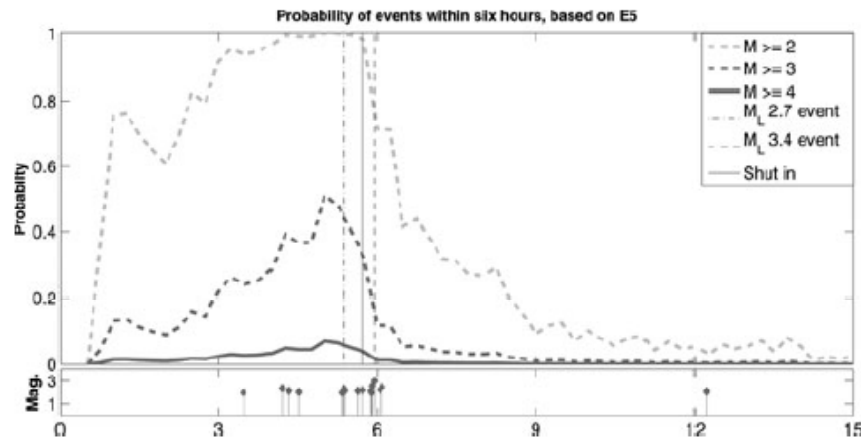
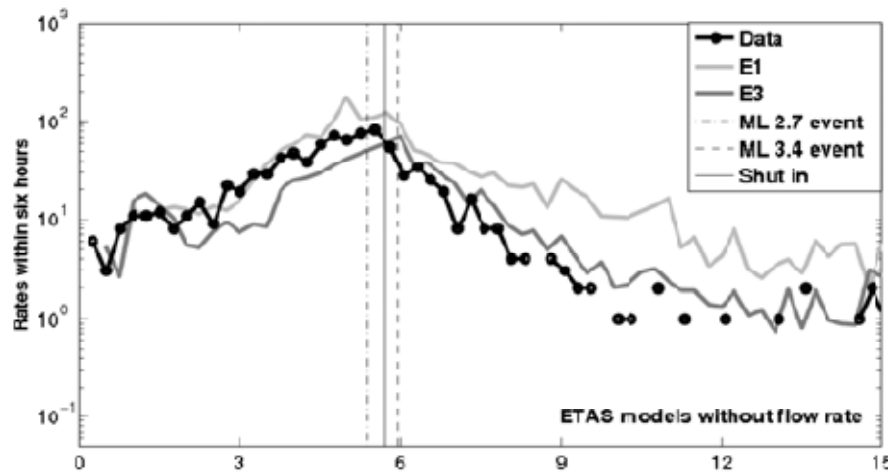
- M_{\min} magnitude of completeness or cutoff magnitude

$$\lambda(t) = \lambda_0 + \sum_{i:t < t_i} \lambda_i(t)$$

$$\lambda_i(t) = \frac{K}{(c + t - t_i)^p} 10^{\alpha(M_i - M_{\min})}$$

All parameters can be found by data fitting (maximum likelihood)

Application ETAS to EGS



Bachmann et al., 2011

- ETAS model to simulate observed seismicity rates in the December 2006 injection experiment in Basel (pseudo-prospective)
- Each 6 hrs parameters are updated to give 'real-time' forecast for the next 6 hr
- Seismicity rate of $M_w = 0.9-3.5$ is modeled

Statistical models: alternative to physics-based?

Bachmann et al. (2011)

- › Well understood and well tested
- › Catalogue input data available near real-time
- › Relatively simple model to explain complex physical phenomenon
- › Output seismicity rate → hazard estimates

- › But no physical basis

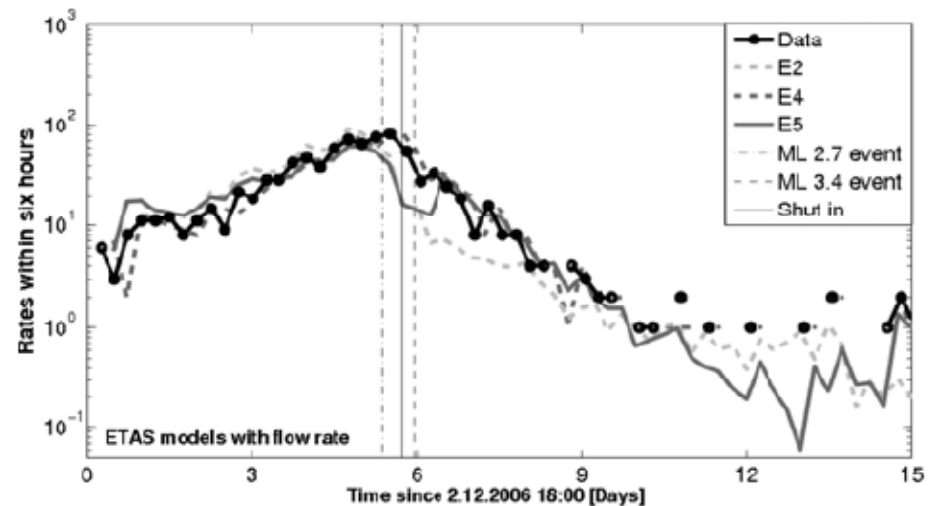
Integrated models: Including injection rate in ETAS

› Bachmann et al. (2011)

$$\lambda(t) = \lambda_0 + \sum_{i:t < t_i} \lambda_i(t)$$

$$\lambda_i(t) = \frac{K}{(c+t-t_i)^p} 10^{\alpha(M_i - M_{\min})}$$

$$\lambda_0(t) = \mu + c_f F_r(t)$$



Bachmann et al., 2011

Background is modified to include injection rate

› Better fit than models without injection rate

Seismogenic index

- Shapiro et al. (2010) **number of events proportional to injection volume**

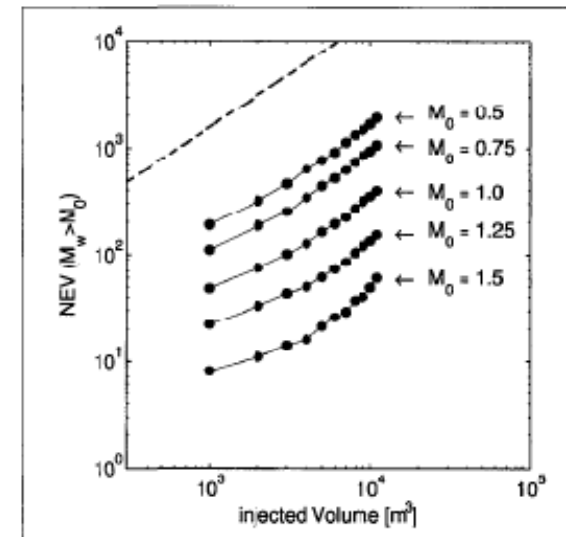
Probability Shapiro et al. (2007) is rewritten as

$$\log N_M(t) = \log Qc(t) - bM + a - \log(F_t S)$$

- $Qc(t)$ cumulative injected volume
- S : poroelastic storage coefficient
- F_t concentration pre-existing cracks

Seismogenic index:

$$\Sigma = a - \log(F_t S)$$



Shapiro et al., 2010

independent of injection parameters, **indicative of tectonic state at a specific site** (larger Σ = larger probability of significant magnitude events)

Probability of large events at Basel

› Basel → high seismogenic index

Poisson distribution → probability event with magnitude >M in time interval (0,t):

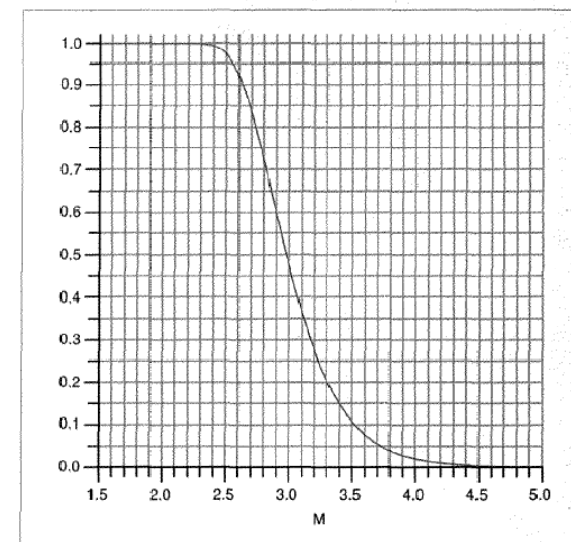
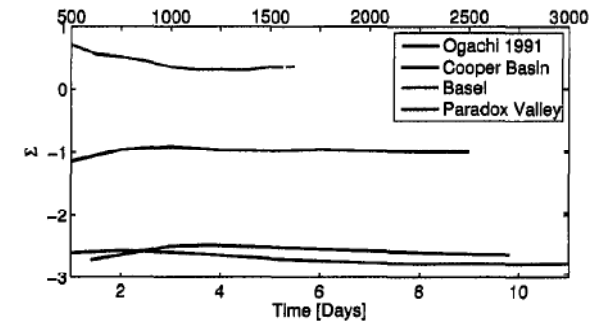
$$P(0, M, t) = \exp(-N_M(t))$$

$$= \exp(-Qc(t)10^{\Sigma-bM})$$

eg. for Basel 97% chance on M>2.5 during injection period

Post-injection seismicity?

Earthquake triggering?



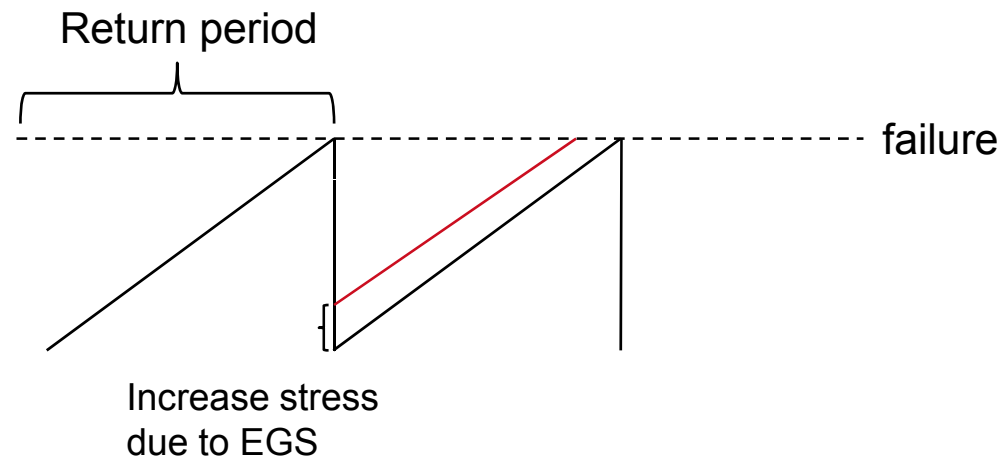
Shapiro et al., 2010

Stress changes to seismicity rates

- › Coulomb stress change, changes in normal stress, shear stress and pore fluid pressure
- › Dieterich 1994 Rate-and-state friction based model
- › Stress changes can be translated to seismicity rates
- › But difficult model, many input parameters uncertain
 - › Tectonic stressing rate
 - › Background seismicity
 - › Constitutive parameters

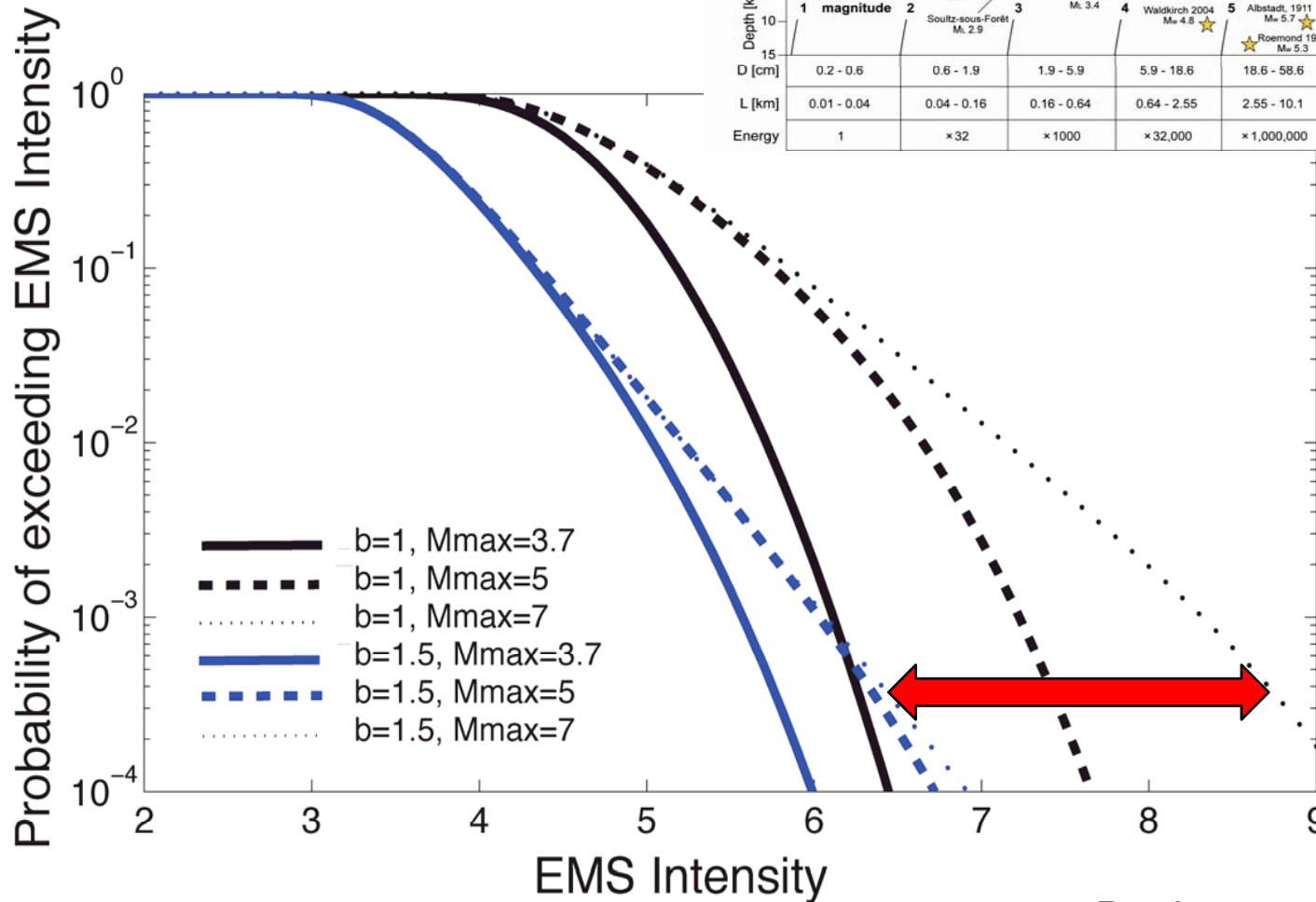
Maximum magnitude

- › Tectonic events: always large M_{max} ($M_I=6-7$) over large return period
- › EGS => decrease in return period large event



Using Mmax and recurrence rate

PGV [cm/s]	0.02	0.05	0.13	0.34	0.90	2.39	6.37	16.97	45.22	120.46	320.91	854.90
PGA [cm/s ²]	0.5	1.3	3.2	7.9	19.4	47.3	115	281	687	1678	4096	10000
EMS Intensity	I not felt	II scarcely felt	III weakly felt	IV largely observed	V strongly felt, hair cracks	VI slight damages	VII damaging	VIII heavy damages	IX destructive	X very destructive	XI devastating	XII complete devastating
Depth [km]												
D [cm]	0.2 - 0.6	0.6 - 1.9	1.9 - 5.9	5.9 - 18.6	18.6 - 58.6	59 - 184	184 - 583	> 583				
L [km]	0.01 - 0.04	0.04 - 0.16	0.16 - 0.64	0.64 - 2.55	2.55 - 10.1	10.1 - 40.2	40.2 - 160.0	> 160.0				
Energy	1	×32	×1000	×32,000	×1,000,000	×32,000,000	×1,000,000,000	×32,000,000,000				



The in-depth physics based view

WHITE PAPER on physical processes and key parameters

Authors

T. Kohl, M. Schoenball, E. Gaucher (KIT)

J-D. van Wees, P. Fokker (TNO)

A. Zang, O. Heidbach (GFZ)

Title

“Induced seismicity in geothermal reservoirs:
physical processes and key parameters”

Outline

Context and scientific background

Models related to seismicity observation

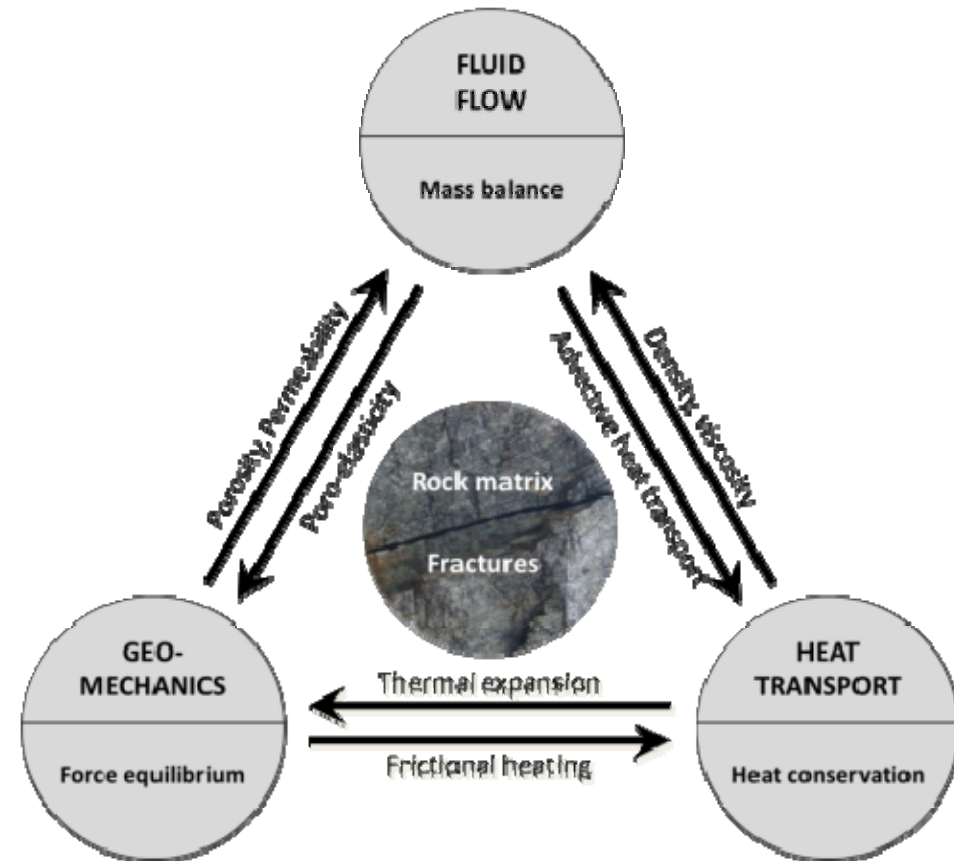
Physics-based models of induced seismicity process (M. Schoenball)

Case studies: Soultz-sous-Forêts & Gross-Schoenebeck

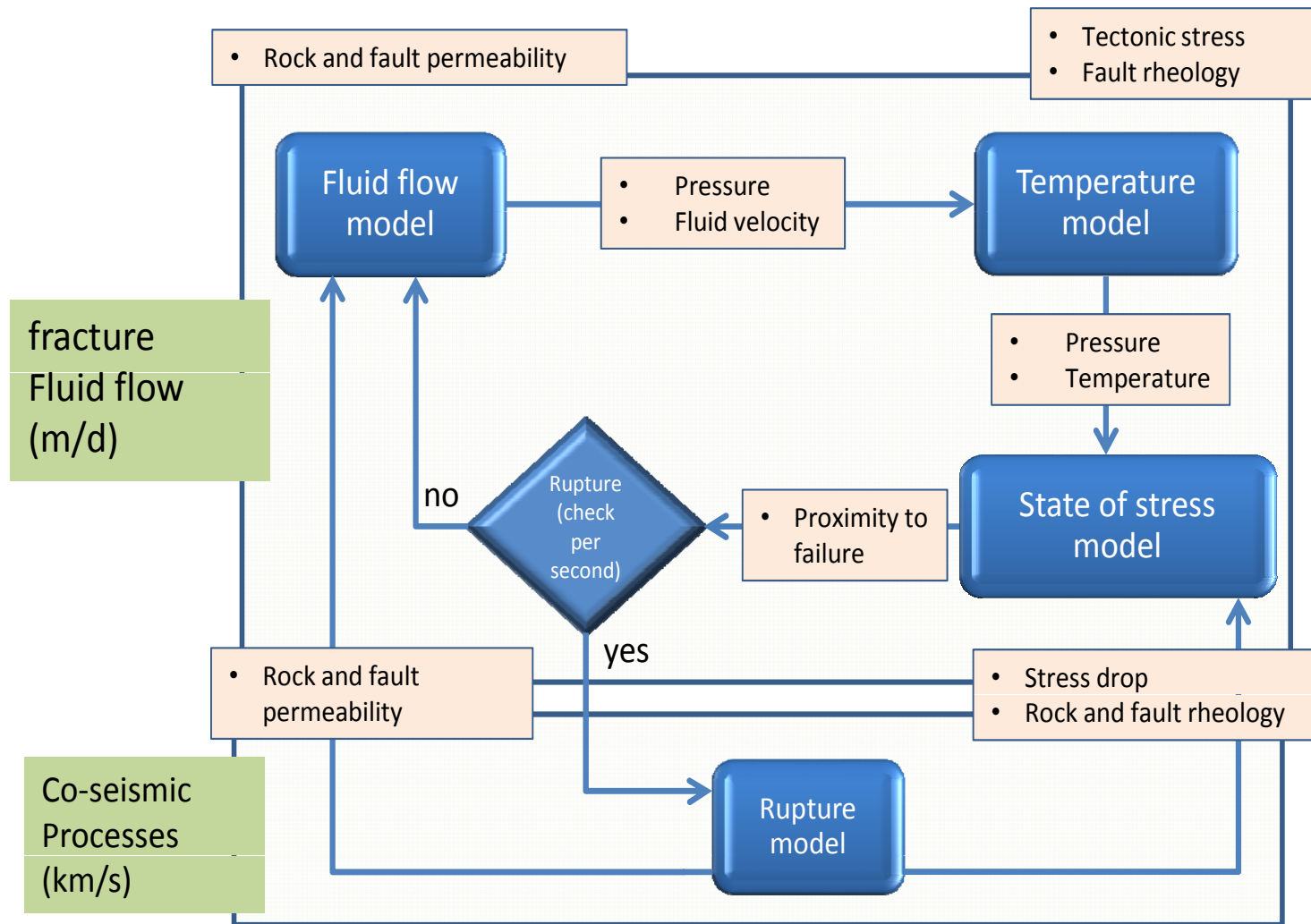
Key parameters and way forward

Coupled processes

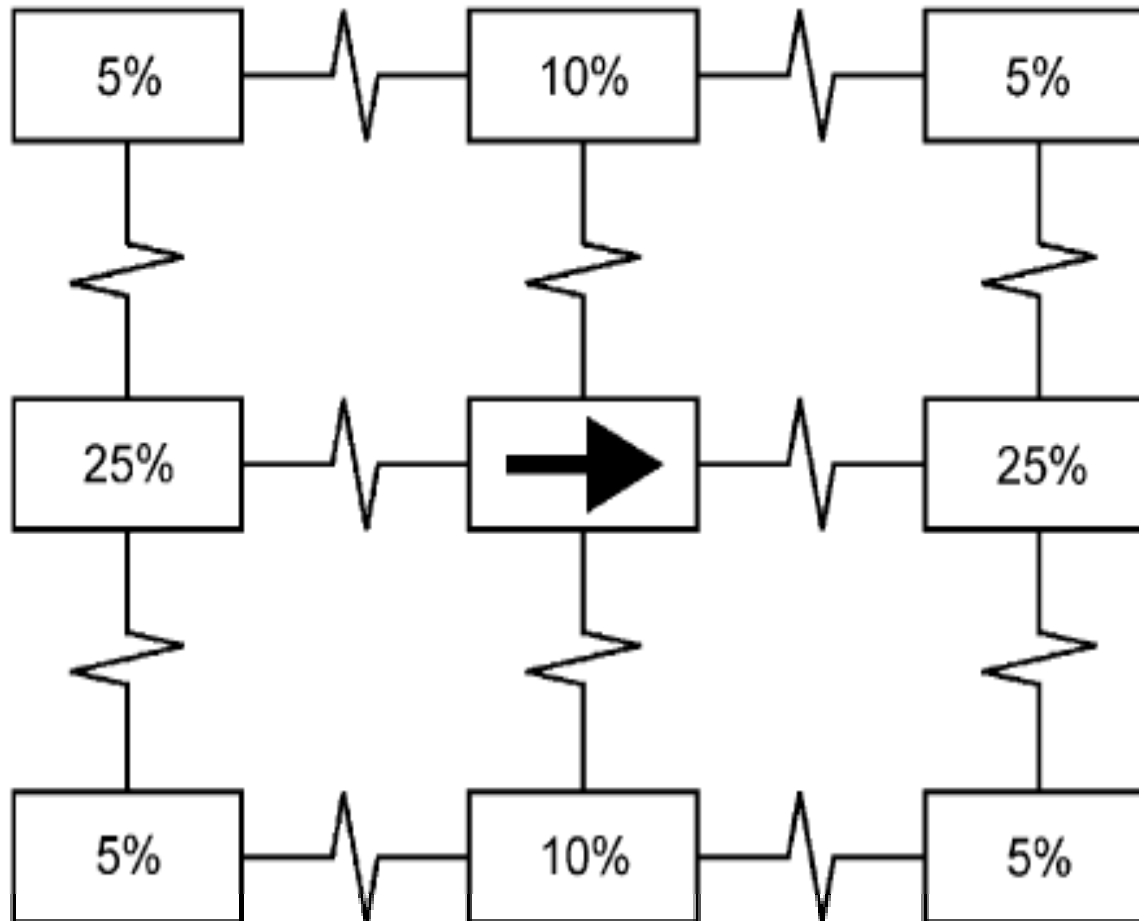
- › IS in geothermal reservoirs results from interaction of
 - › Fluid flow
 - › Heat transport
 - › Geomechanics
- › Deterministic models have been developed to answer part of these interactions



Physical models



Very Simplified Rupture model (Baisch et al., 2010)



Rupture model

(Baisch et al., 2010)

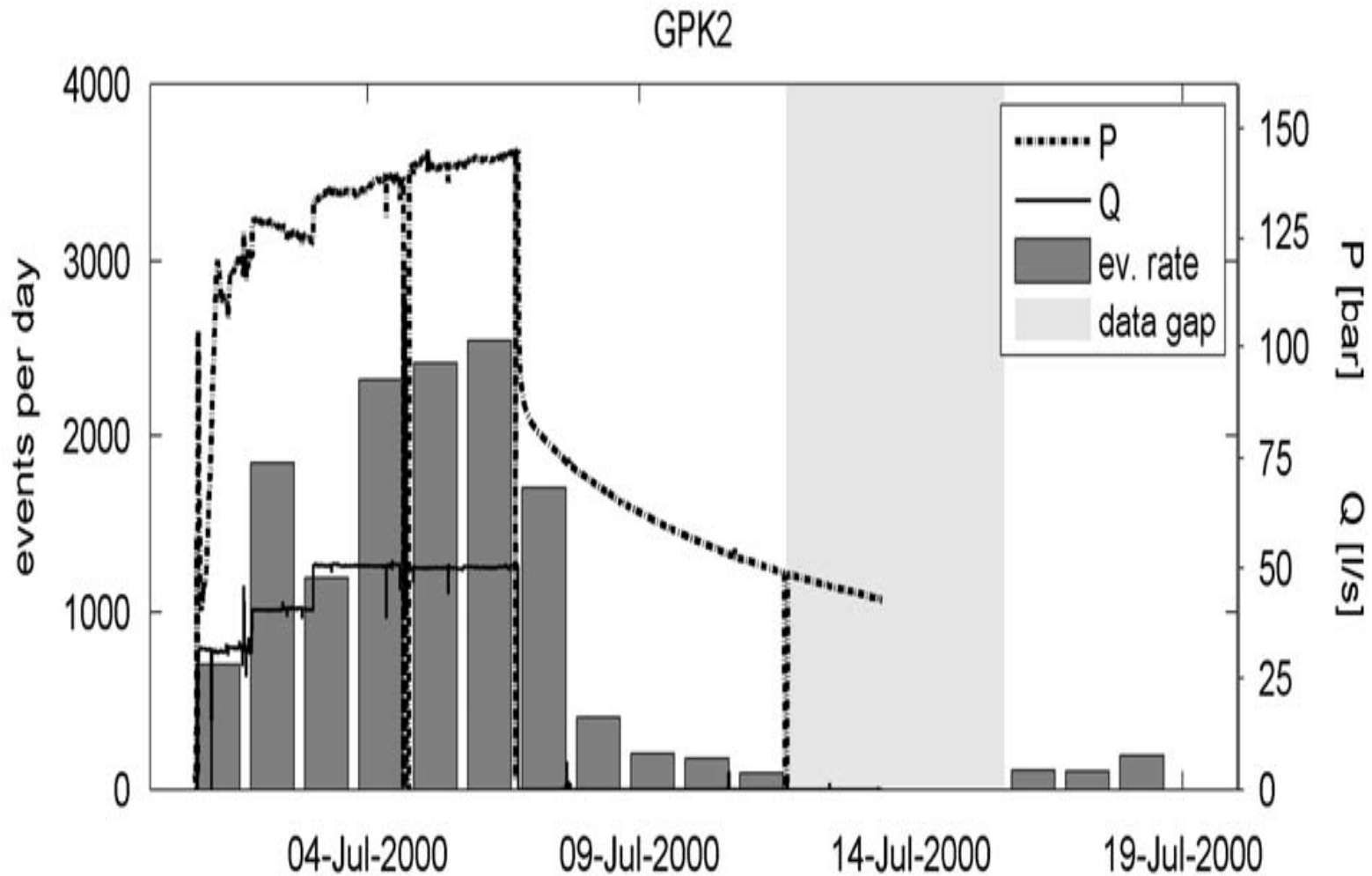
Stressdrop transfer to Neighbouring patches

Stressdrop can trigger other pathes → avalanche

Stress drop 3-30bar

Stress drop related to shearstrain → opening of fracture → 10-20% increase of transmissivity

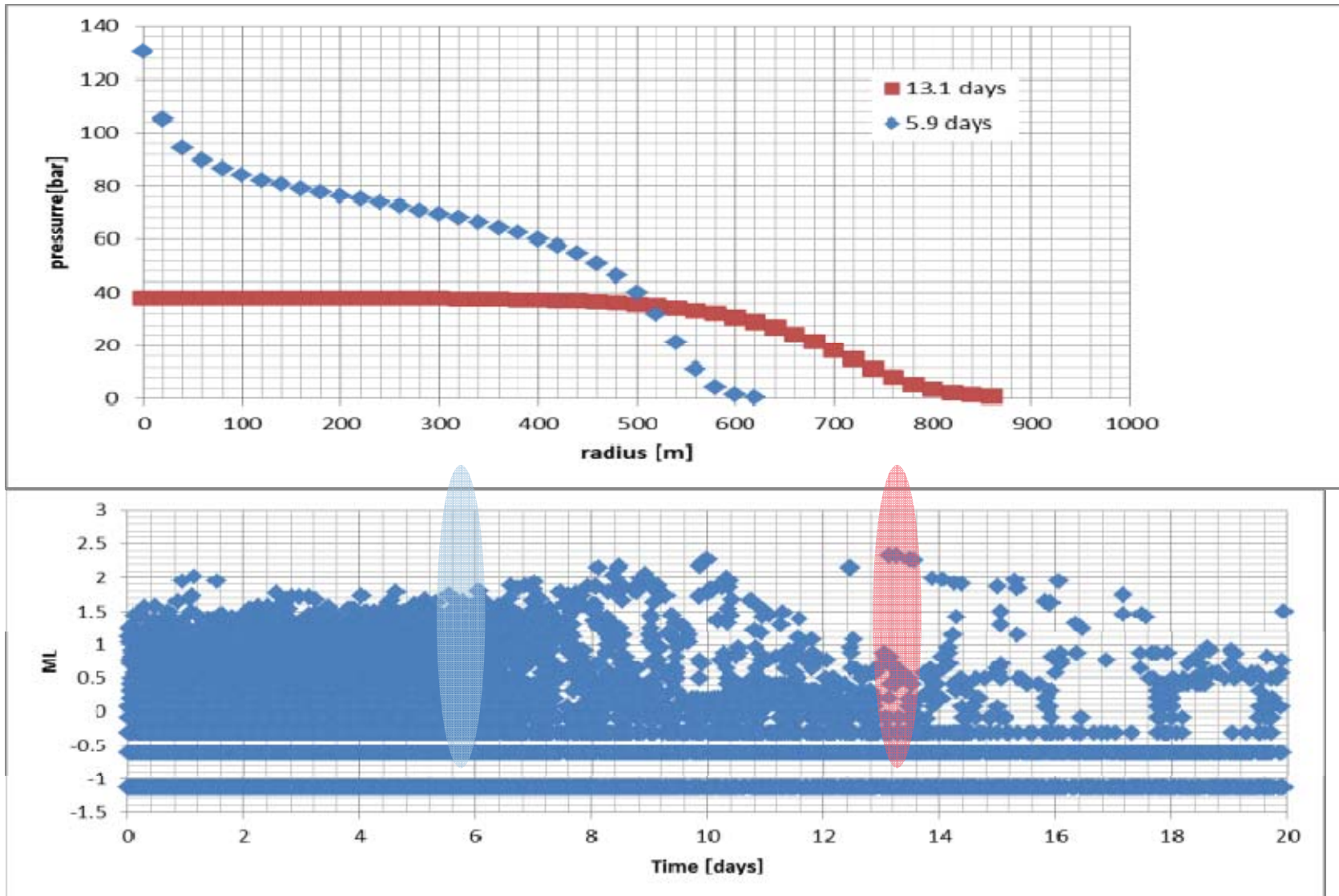
Soultz



parameters

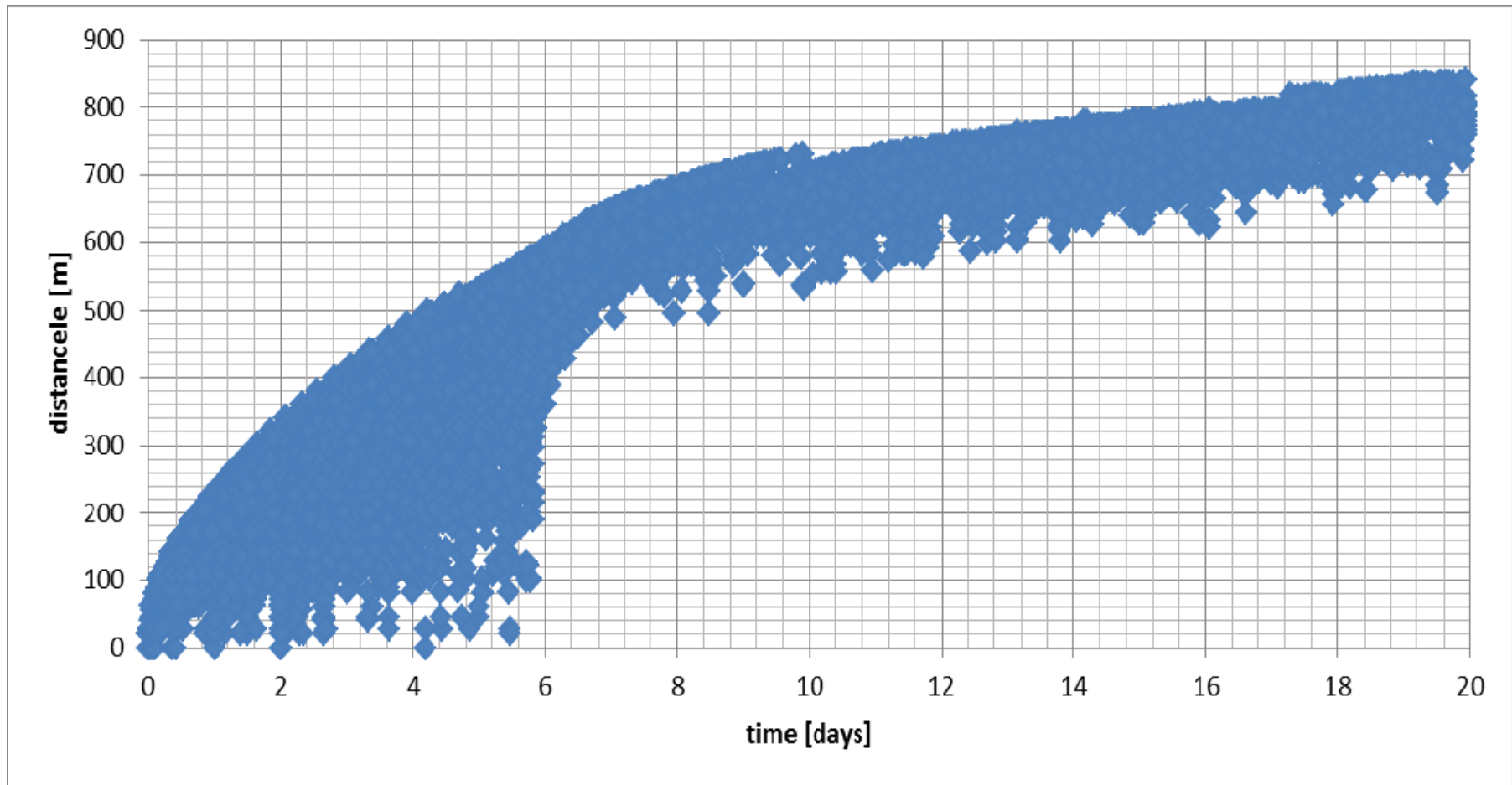
Parameter	Symbol	Value	Units
Initial transmissibility	T	10	mDm
Storage coefficient	S	$5e-9$	m/Pa
Dyn. viscosity	μ	$2.4e-4$	Pa s
Width	h	1	mm
Well radius	r_w	0.1	m
Stress drop	$\Delta\tau$	1	MPa
Sampling interval	Δt	1	s

Largest events post shut-in → lower

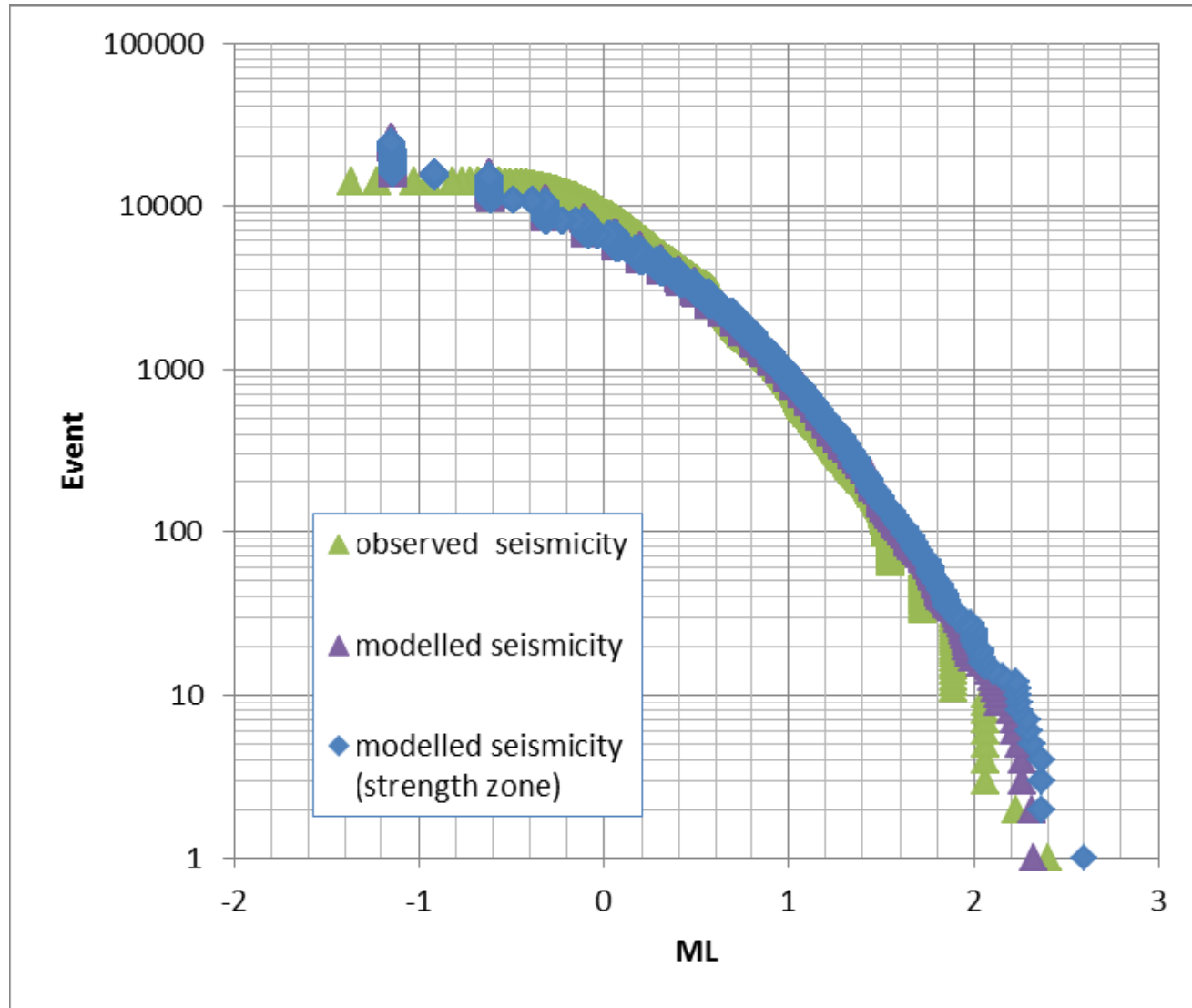




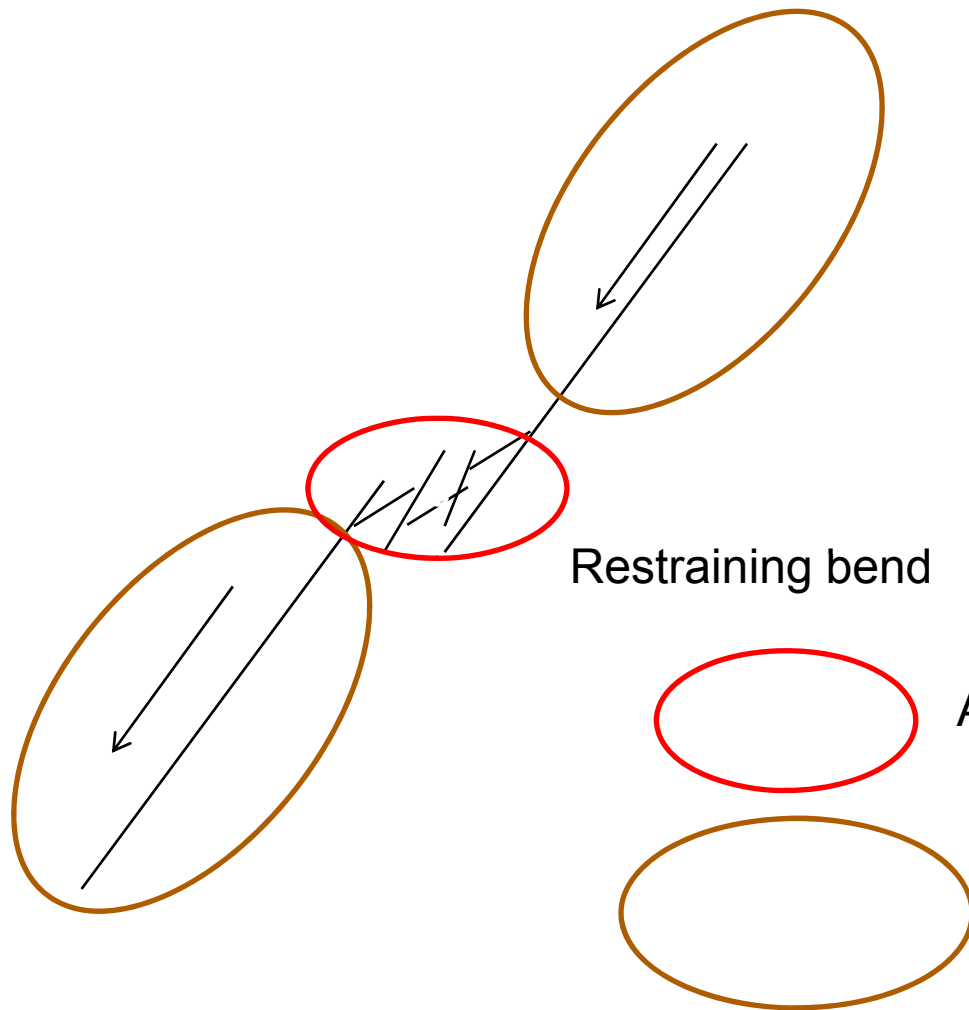
Kaiser effect



Strength zone with large stress drop- not realistic



Heterogeneity in **stress drop**
(depth dependent) relative
to **stress criticality**
(dependent on natural
stress and fault geometry
and rheology)



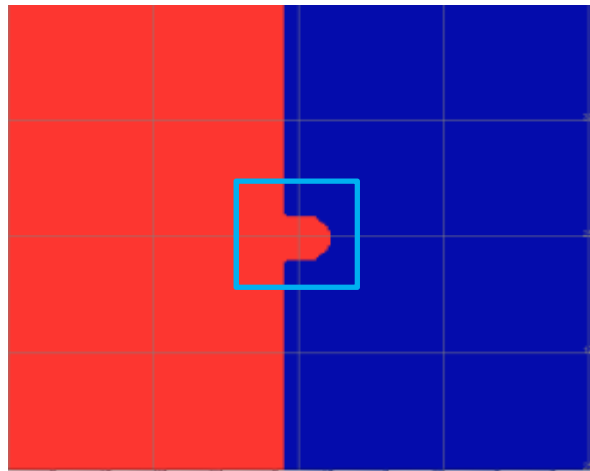
Restraining bend

Asperity → high criticality

Low Criticality (high PGA)



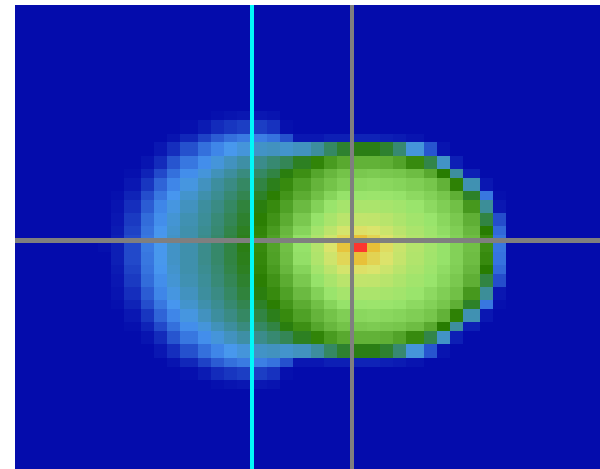
Larger stress drop than proximity to failure (Baisch et al., 2010) model



Low criticality

high criticality

pressure



Stress drop ==
1 Mpa

Improvements baisch and voros model

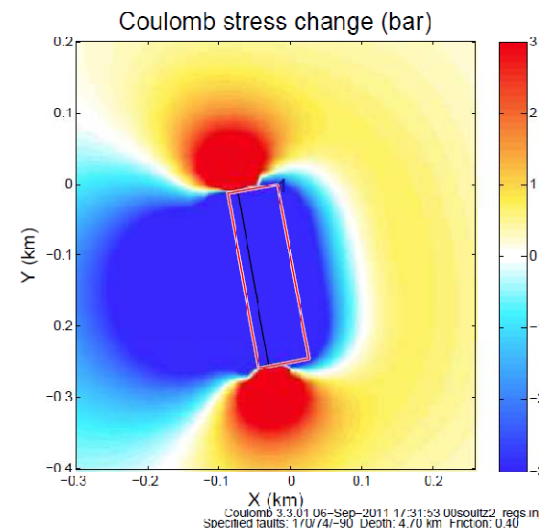
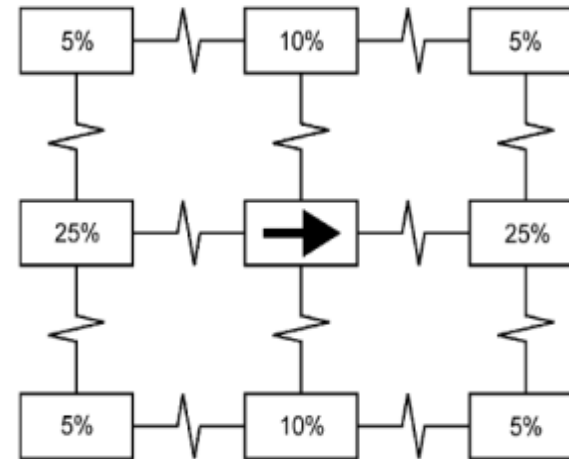
› Limitations:

- Kernel limits stress transfer to next patch only
- Large stress drops cannot be transferred over larger distance
- Strong lateral attenuation



Improvements:

- Full stress transfer kernel required
- Adopt okada kernel of BEM
- Instead of stressdrop use static and dynamic friction (rate and state)



Progress in THM modelling in +/- continuous media

> Coupled modeling of fracture reactivation in EGS reservoir (TNO)

➔ Development of a new tool for modelling the coupled response and reactivation of pre-existing fracture (FLAC3D, extended with fracture code [reactivation, no creation])

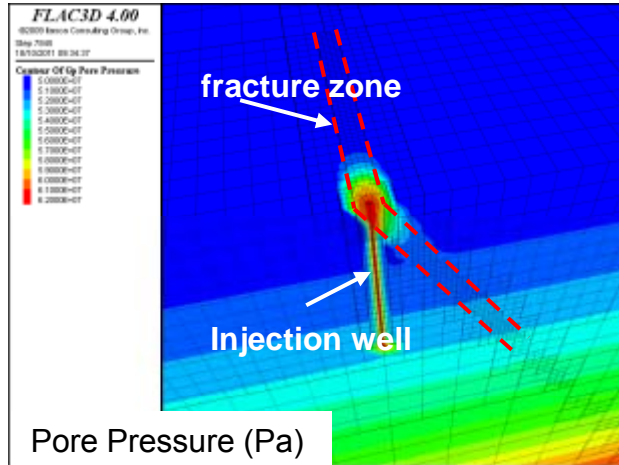
➔ Focus on:

➔ reactivation of natural fracture network in low permeability rock

➔ role of pore pressures & temperatures in relation to permeability enhancement and seismicity

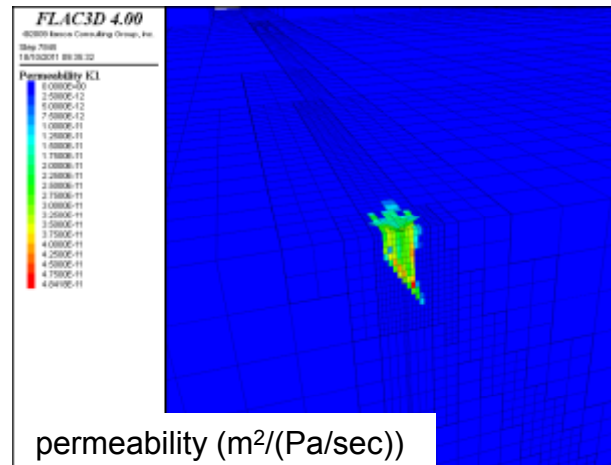
➔ Application: Soultz-sous-Forêts

Reactivation of fault zone



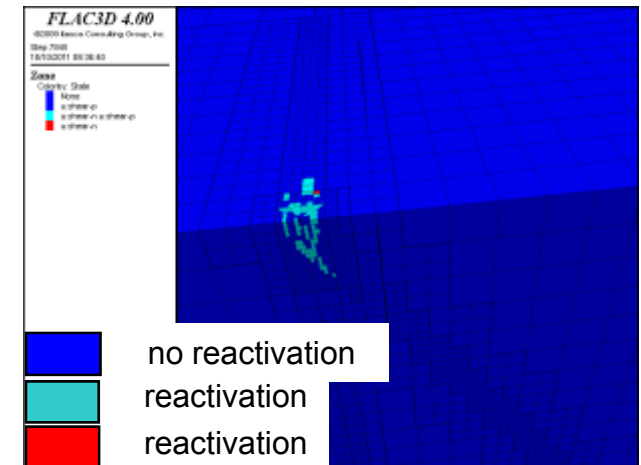
(from Wassing et al., TNO, 2011)

pore pressures in fracture zone 7.5 hours after start injection



(from Wassing et al., TNO, 2011)

permeability increase in fracture zone 7.5 hours after start injection



(from Wassing et al., TNO, 2011)

reactivated fractures in fracture zone 7.5 hours after start injection

➔ **Tool** will help investigating the relations between injection rates, permeability enhancement and fracture reactivation potential

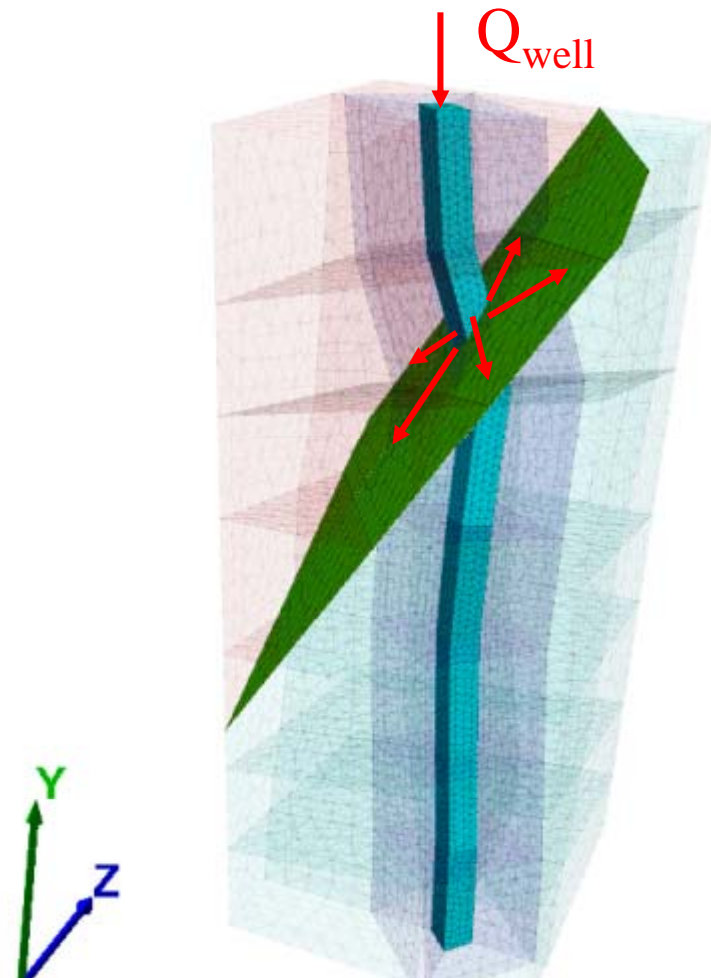
➔ **Work planned:** Further code extension for modelling temperature effects

Progress in (T)HM modelling in fractured media

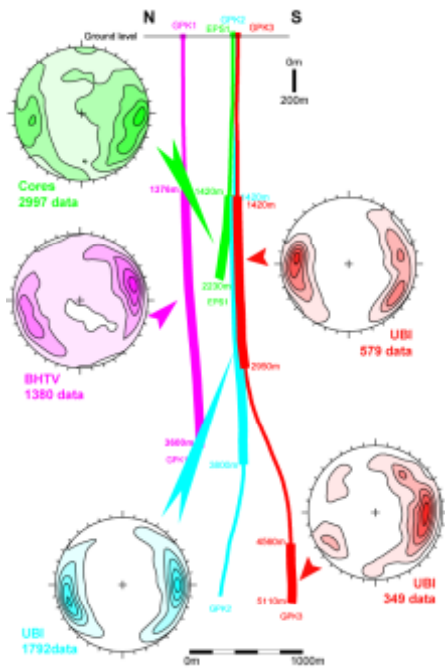
> Study of fault segment 3D network during hydraulic stimulations (BRGM)

→ 3D DEM approach, with a specific (T)HM coupling

- Deformable and impermeable blocks
- Flow takes place only in fault zones
- HM coupling. Permeability increase due to associated dilation effect during sliding and/or due to opening of a fault zone due to stress redistribution
- THM coupling. Thermal convection by the fluid within the fractures. Thermal exchanges between the fluid and the rock mass. Ability to account for thermal stresses

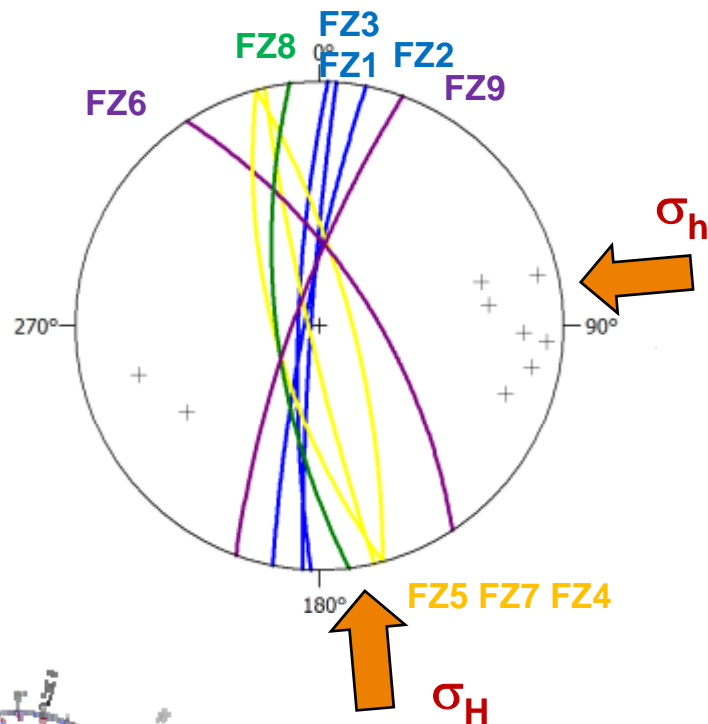


3D Fracture network geometry ⇔ Modeling

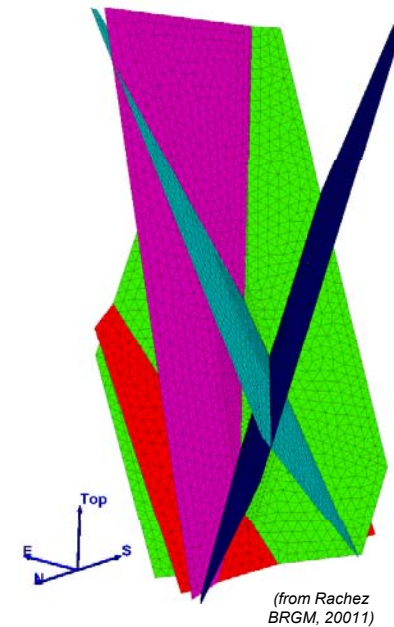


Row 1D well data obtained at Soultz-sous-Forêts – France (From Dezayes, 2010)

Correction from well trajectories, etc. (Peter-Borie, 2011)



Conceptual model for GPK4 open hole at Soultz-sous-Forêts

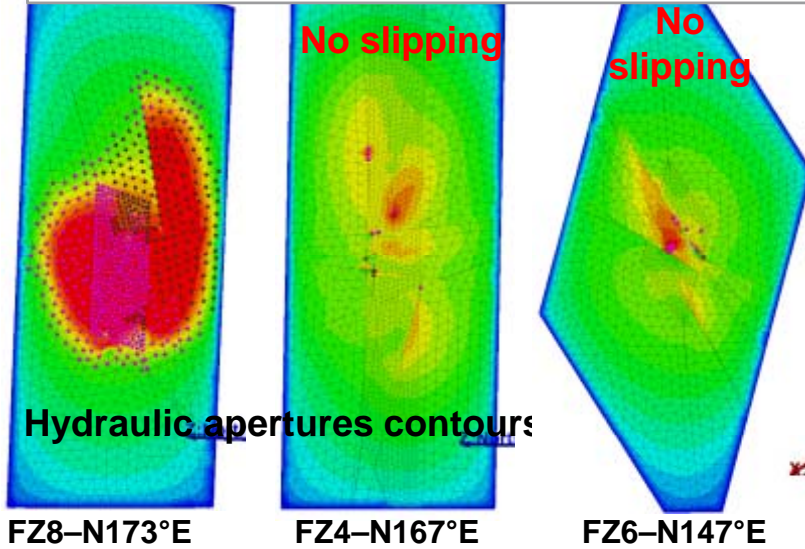


(from Rachez BRGM, 20011)

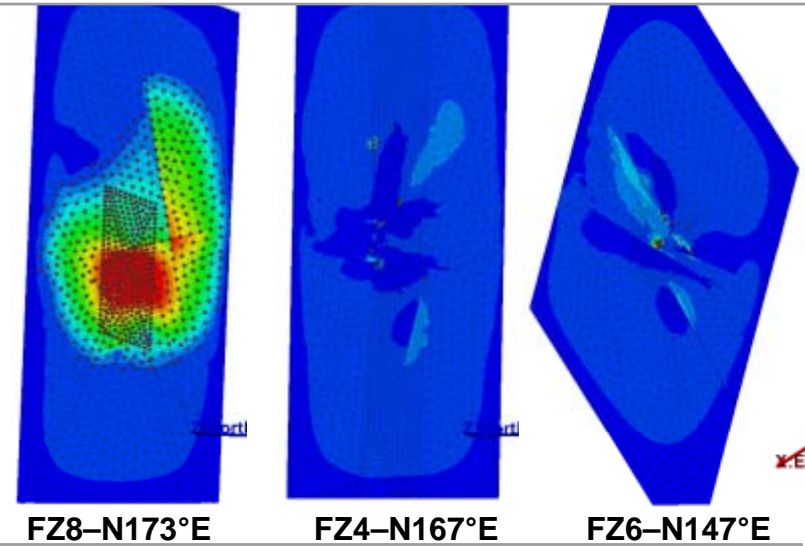
Numerical model (DEM)

3D network - Different fault zones' behaviors

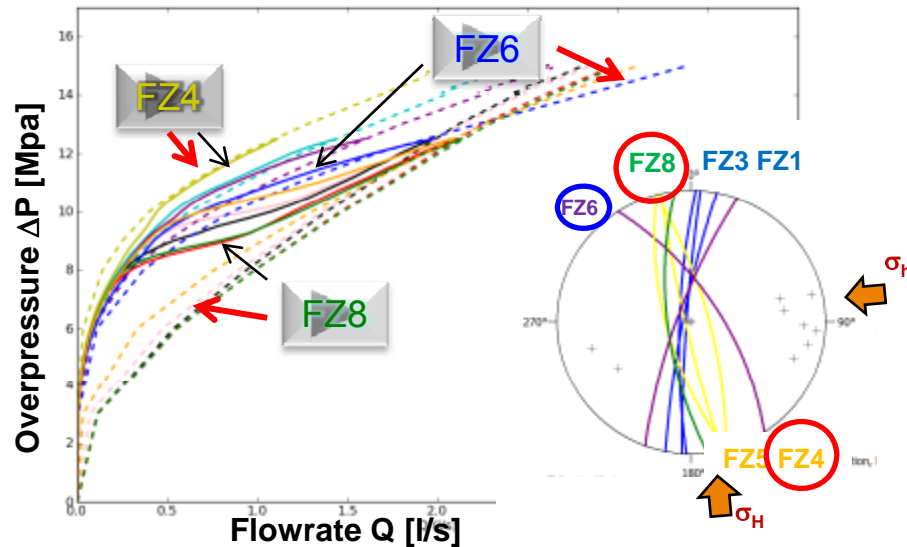
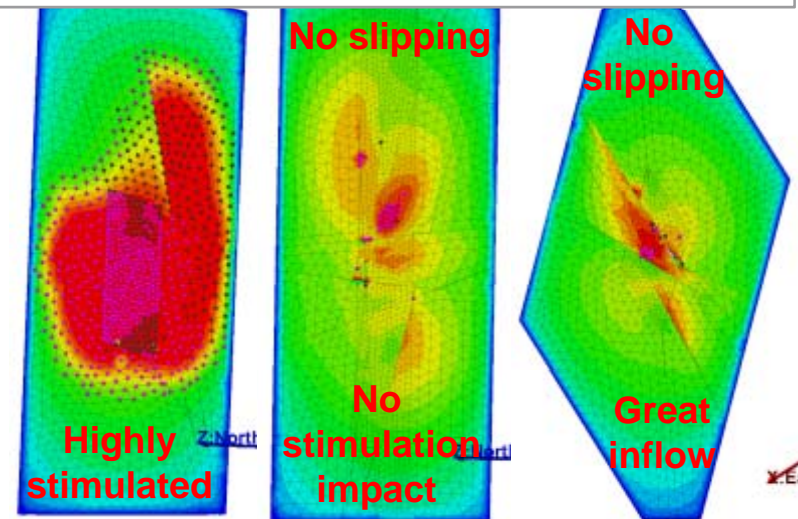
End of stimulation – $\Delta P=12.5\text{MPa}$



Well shut in – $\Delta P=0\text{Mpa}$

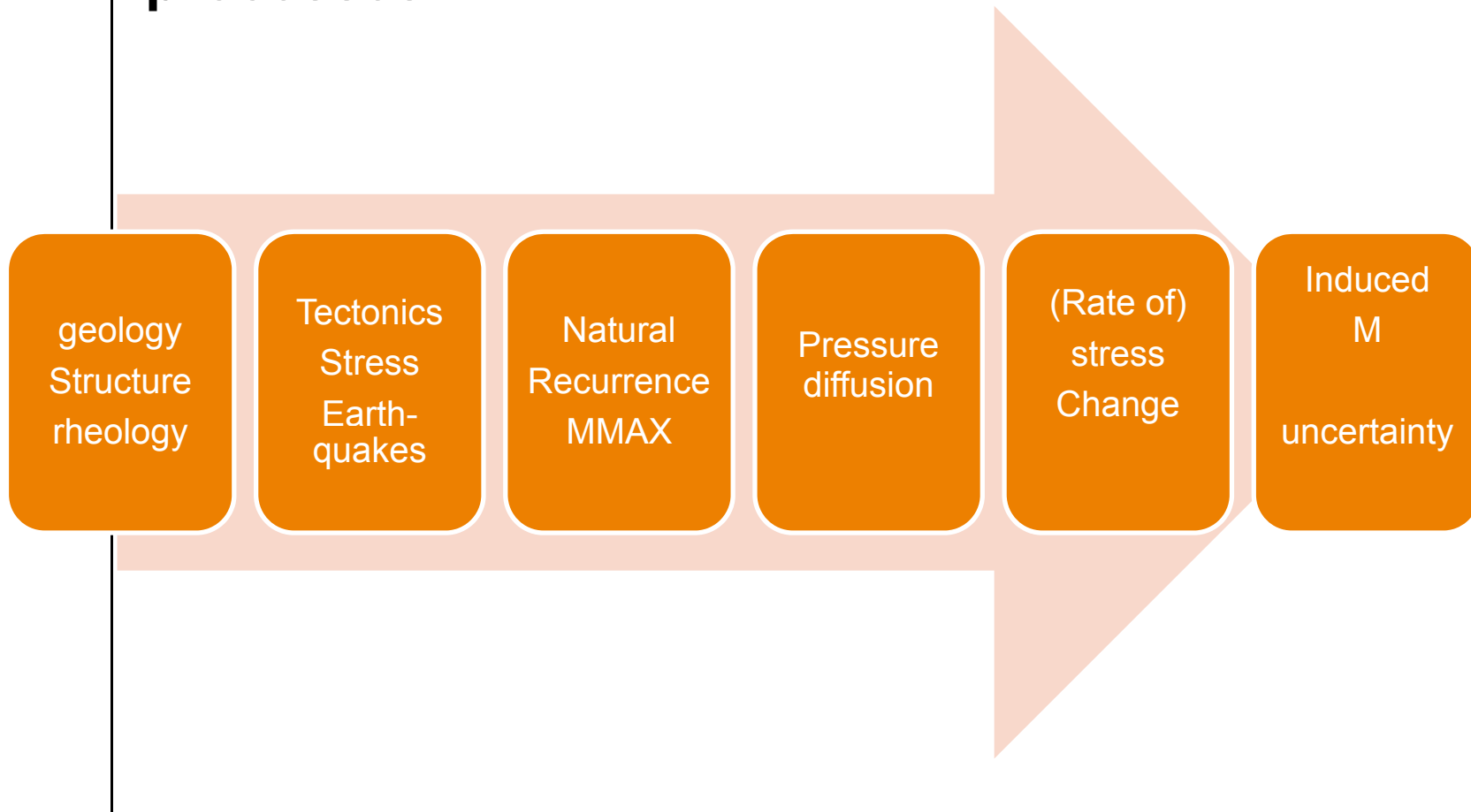


Post stimulation injection $\Delta P = 15.0\text{MPa}$





Spatial differentiation in Parameters and processes



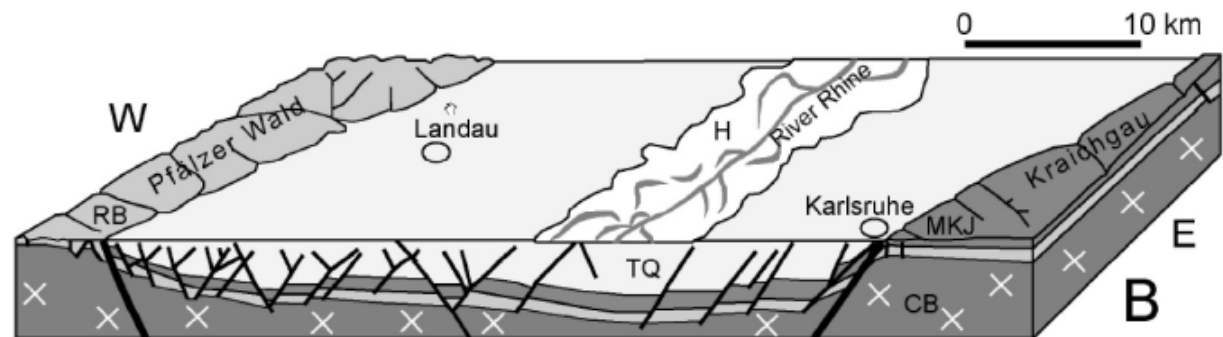


M_{max}=7, 70x10 km fault, 1m displacement

M_{max}=6, 30x5 km fault, 0.4m displacement

M_{max}=5, 15x5 km fault, 0.15m displacement

Big earth quakes
Located at
Mapped Major faults



→ stay away from seismically active faults

GEISER

T6.5 Provide boundary conditions for regulatory guidelines

(TNO, ETHZ, KNMI, INGV, ISOR)

Regulatory guidelines are needed both **prior to any operations**, when **licenses** need to be given, and **during operations**, when **seismicity may appear**. The **goal** is to provide **guidelines to help regulators** in devising **seismic hazard assessment specifications for the selection, licensing and long-term operation of EGS sites and for injection operations** in different geological settings. A clear distinction will be made between guidelines for exploration and drilling licensing, where decisions must be taken on the basis of a priori information when little is still known about the reservoir, and guidelines for operations, when measurements are building up the knowledge gradually.

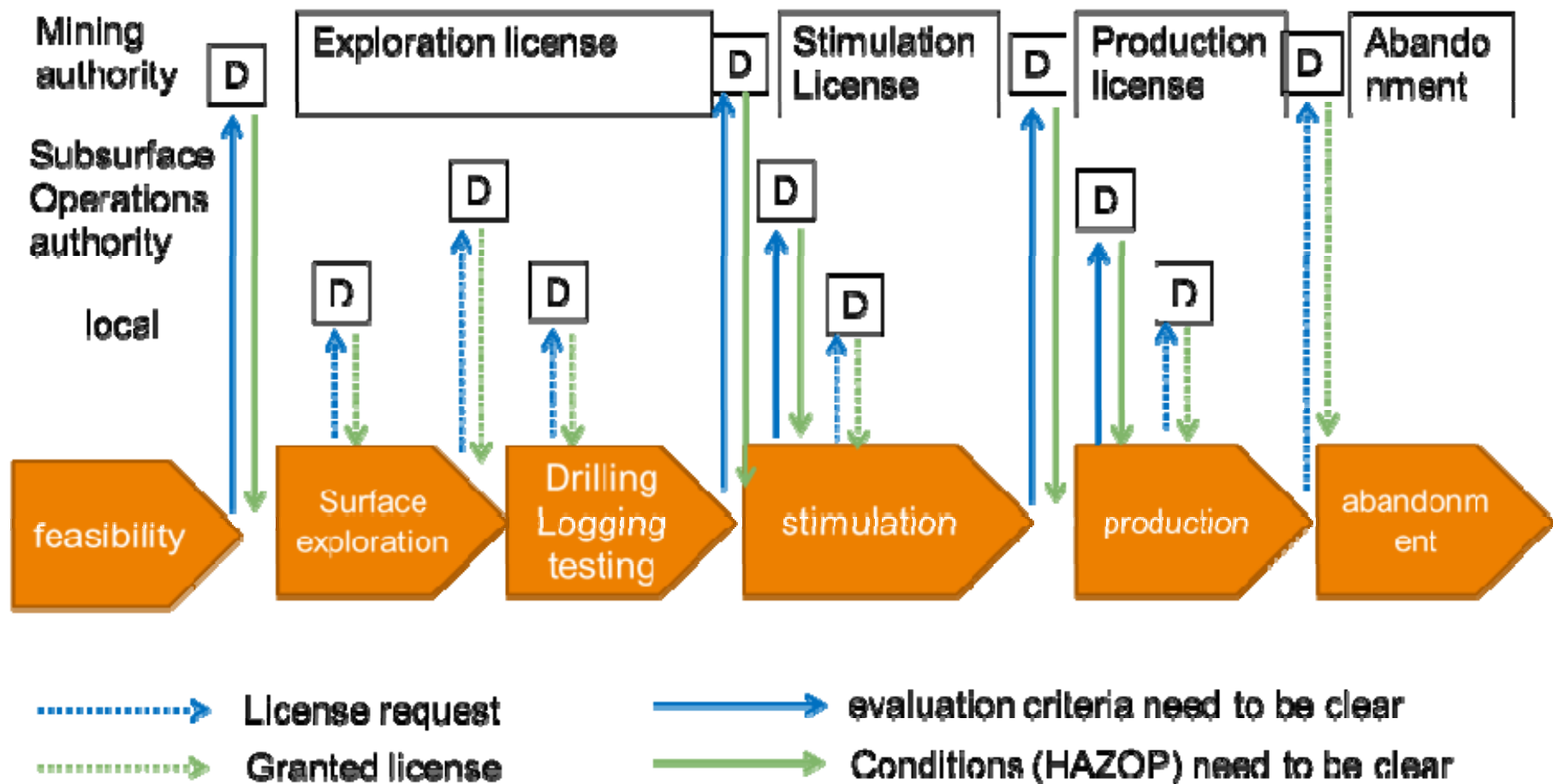
Task 6.5: key components to be connected in the regulatory guidelines for seismic hazard: a Dutch View

Regulations for licensing and operations
(exploration, drilling&stimulation, operations)

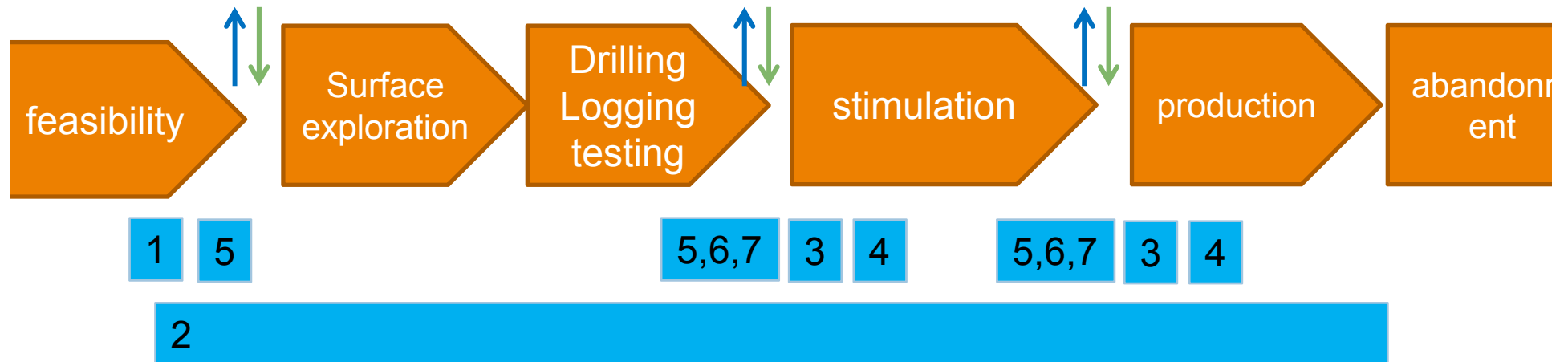
Best project practices (US) and technical state-of-the-art (GEISER)

flexibility and freedom to adapt to advancement in understanding in KEY processes (GEISER and beyond)
And leave technical details to project developers

Project Workflow orientation: regulatory decision tollgates



T 6.5: Provide boundary conditions for regulatory guidelines

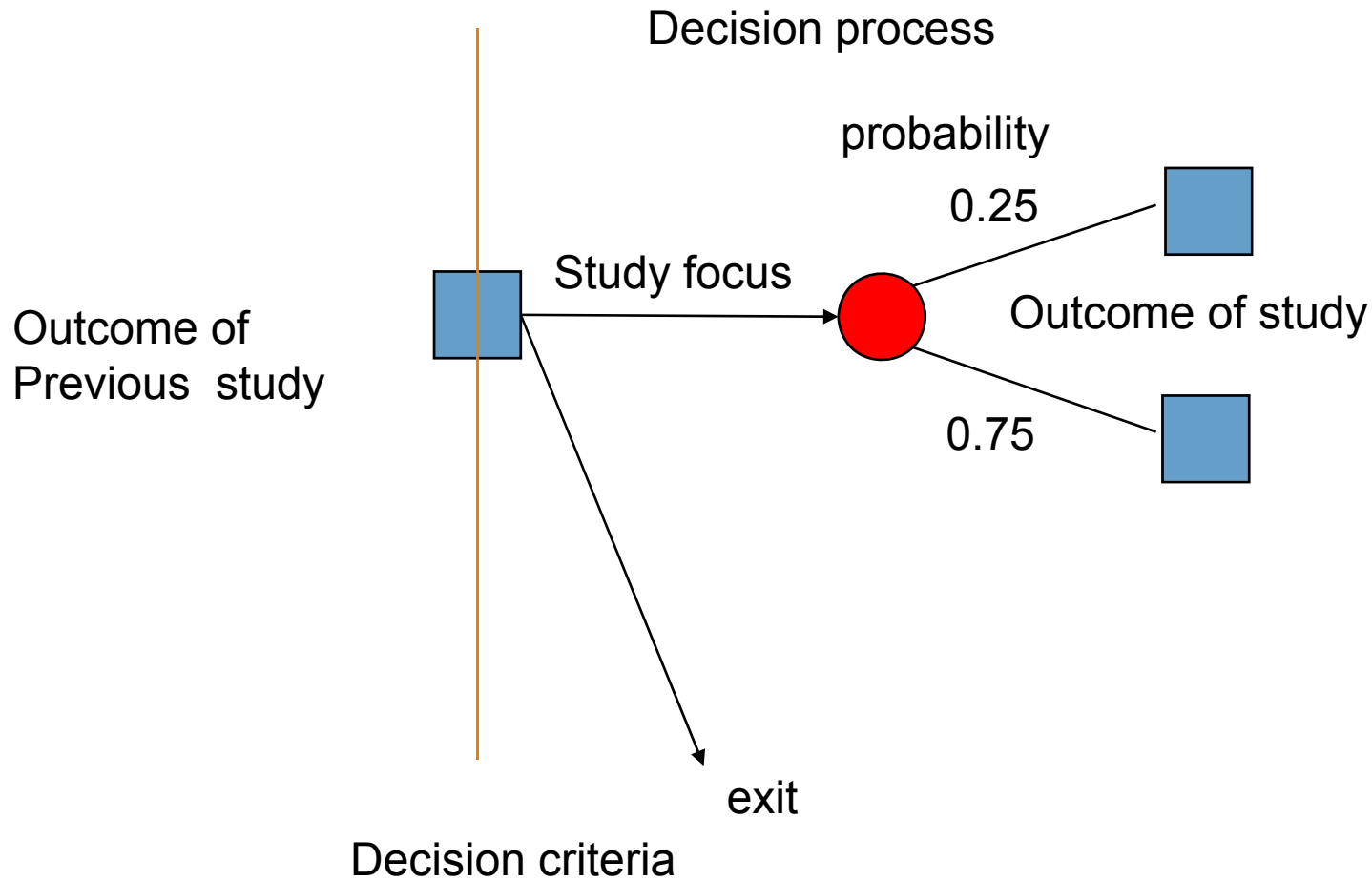


Connect for conditions and criteria to US PROJECT BASED protocol (Maier et al., 2012)

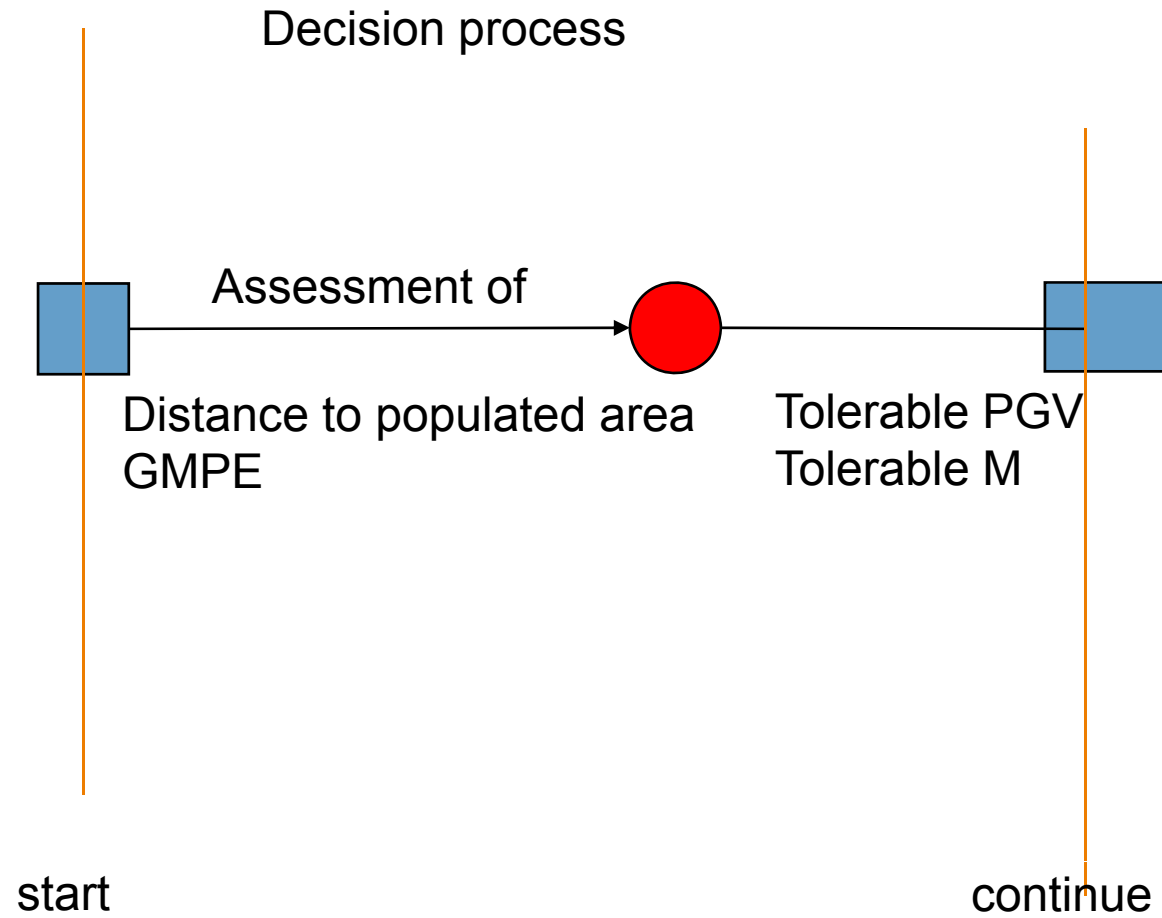
- 1) Perform a preliminary screening evaluation
- 2) Implement an outreach and communication program
- 3) Identify criteria for ground vibration and noise
- 4) Establish seismic monitoring
- 5) Quantify the hazard from natural and induced seismic events
- 6) Characterize the risk from induced seismic events
- 7) Develop risk-based mitigation plans

- › What can we expect from GEISER and how can we use the US protocol
 - › Licence criteria and conditions
 - › Technical recommendations for operations
 - › **seismic hazard assessment** → *methodology and key parameters* for a priori site specific assessment → **expected level of seismicity in conjunction with impact, to be included in license requests**
 - › Seismic monitoring and **Dynamic traffic light**
 - › Dynamic because it includes a *prediction of seismicity based on key parameters and monitoring so far*. Treshold ML to stop operations is related to predicted value, not observed ML (**US protocol recommends 0.9 difference**)
 - › It allows for validation of a priori assumption during stimulation

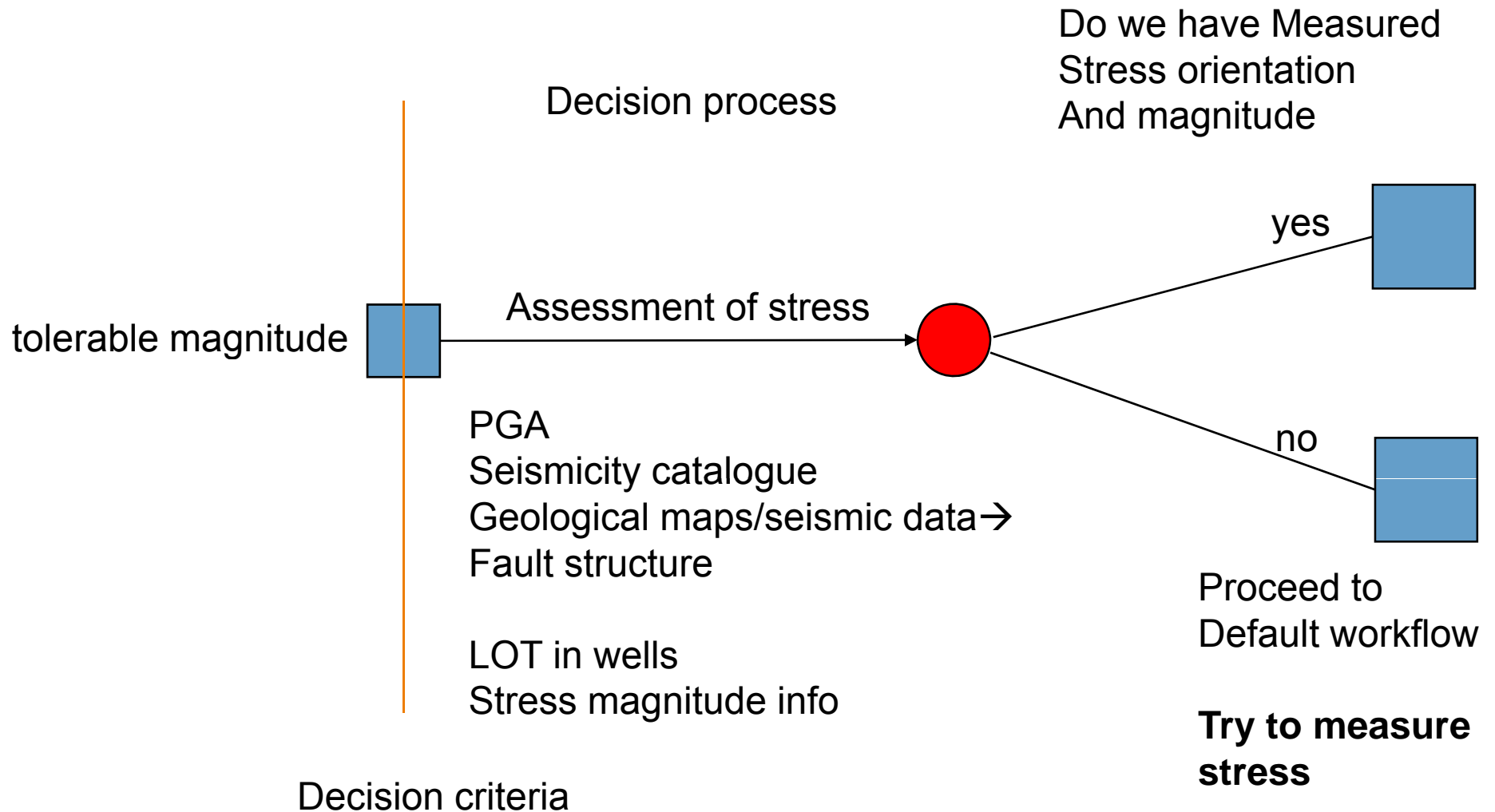
Decision Process



Decision Process (1)

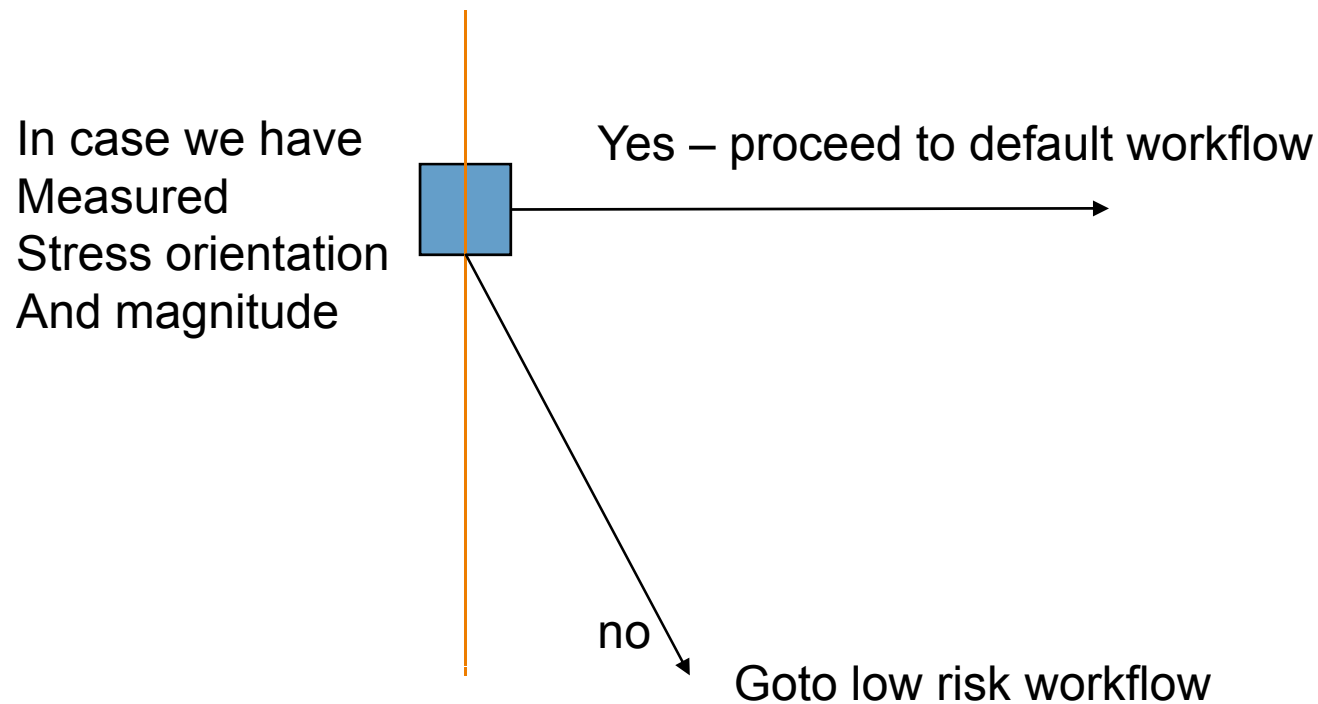


Decision Process (2) –

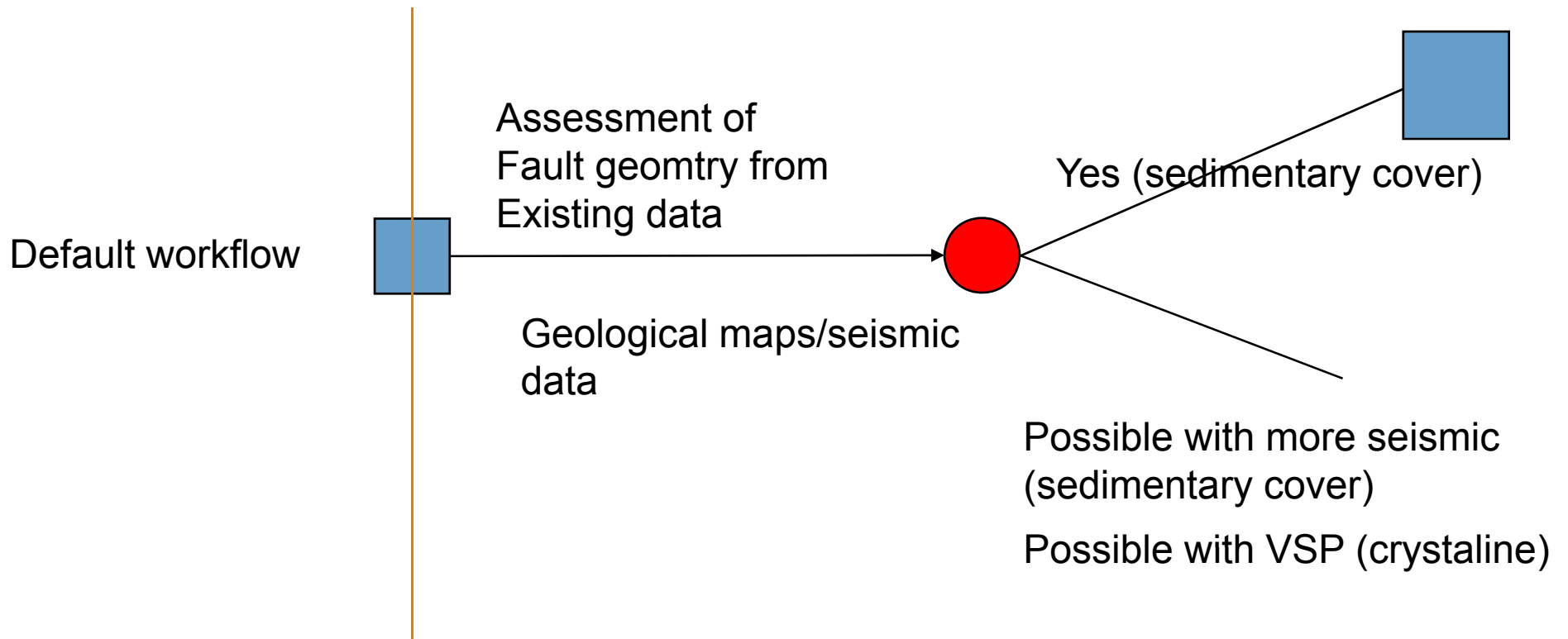


Decision Process (3) – measured stress

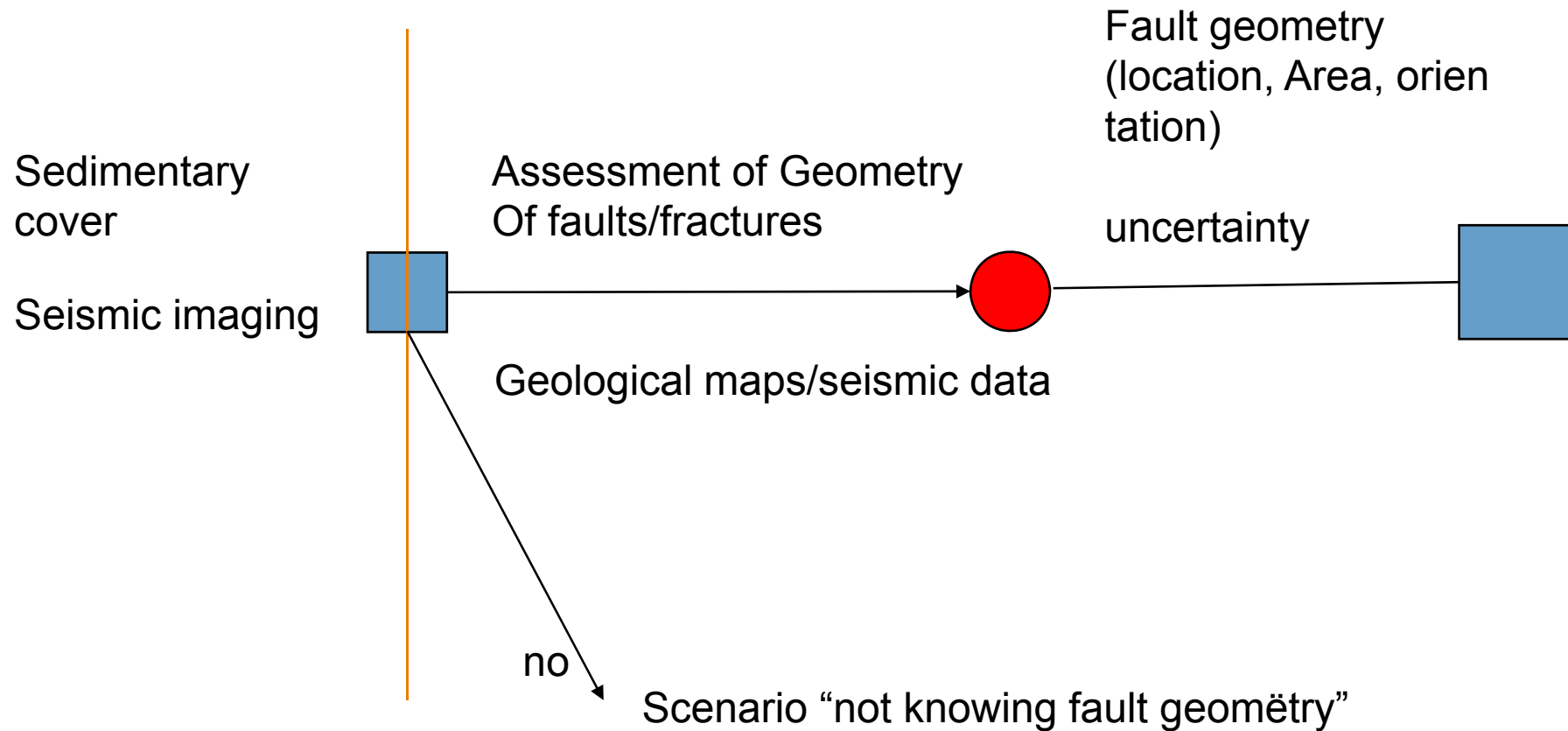
Is it critically stressed (normalized $ST/\mu_{eff} > 0.7$)
Use conservative $\mu_{eff} = 0.6$ (30 degrees friction angle) for
volume of 5x5x5 km



Decision Process (3) –

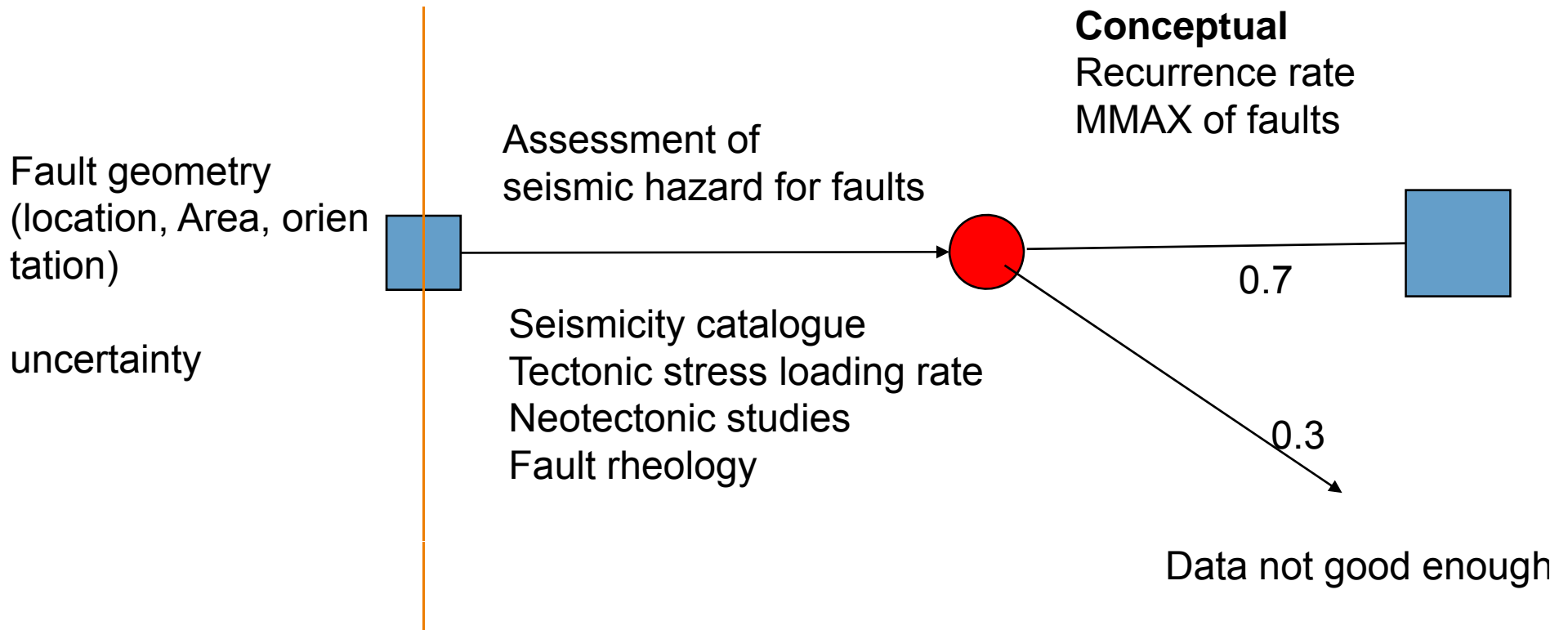


Decision Process (3) – fault geometry

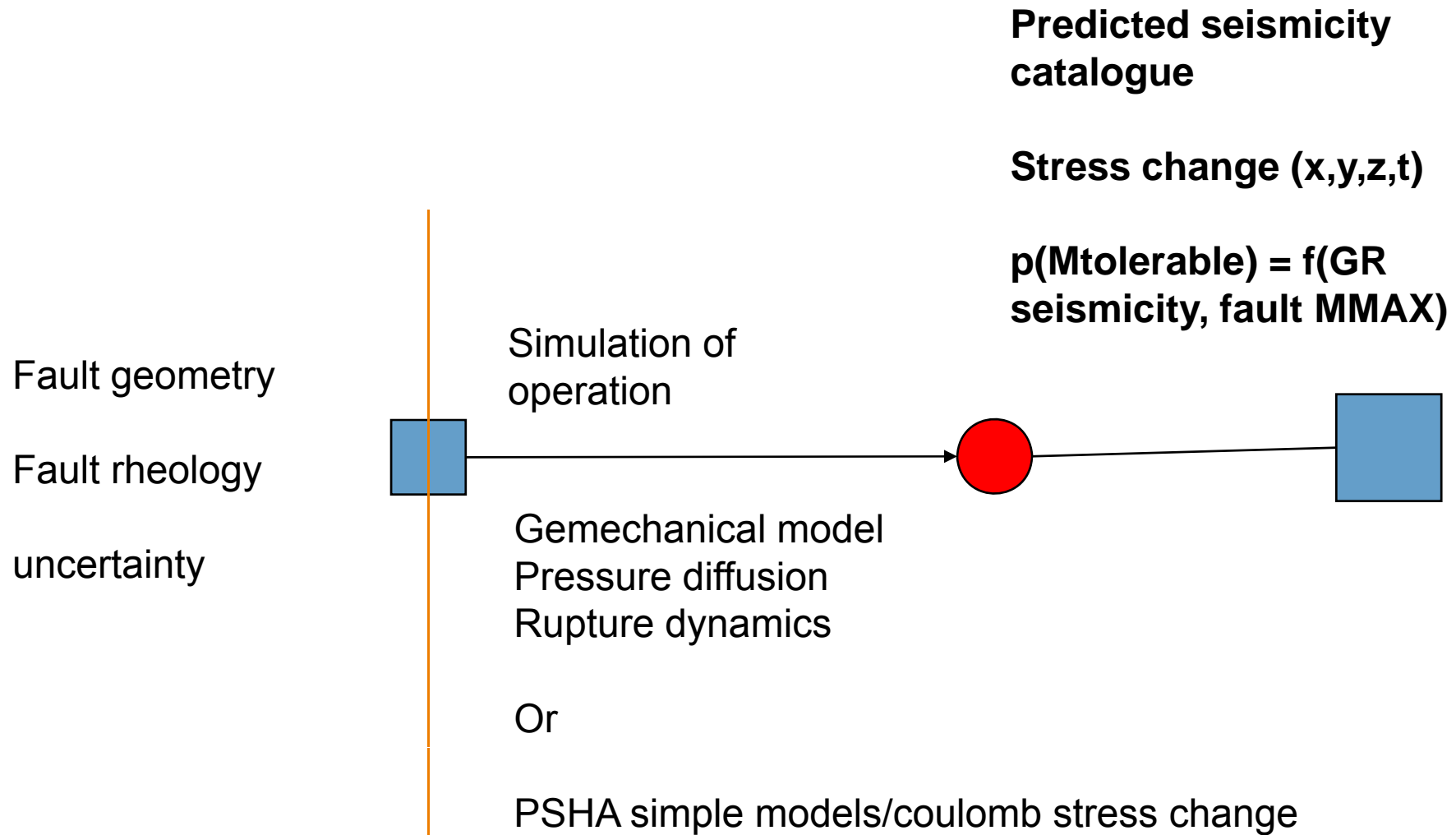


Decision Process (3) – risk of faults

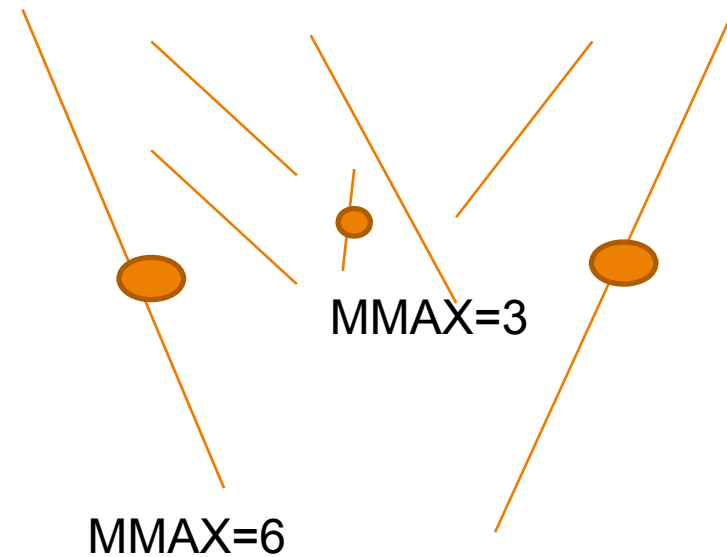
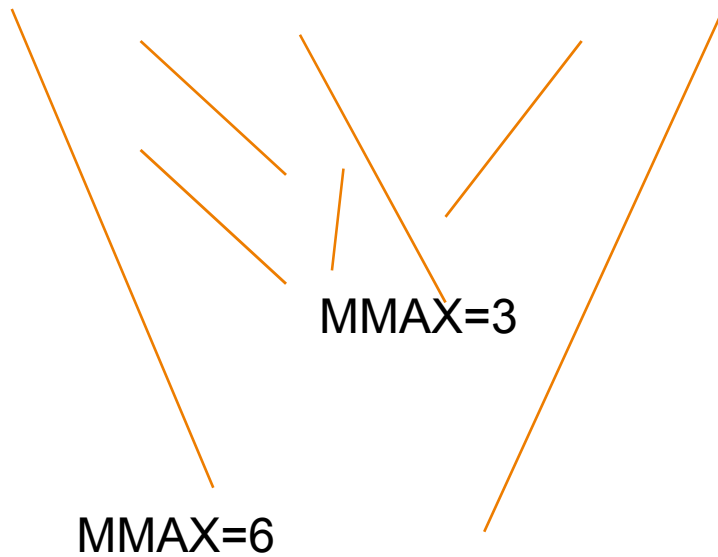
- Slip-Tendency (ST, *fault rheology + stress*)
- Fault rheology from neotectonic studies
- Recurrence rate and MMAX on faults (ST+faultarea+PGA)



Decision Process (3) - modelling

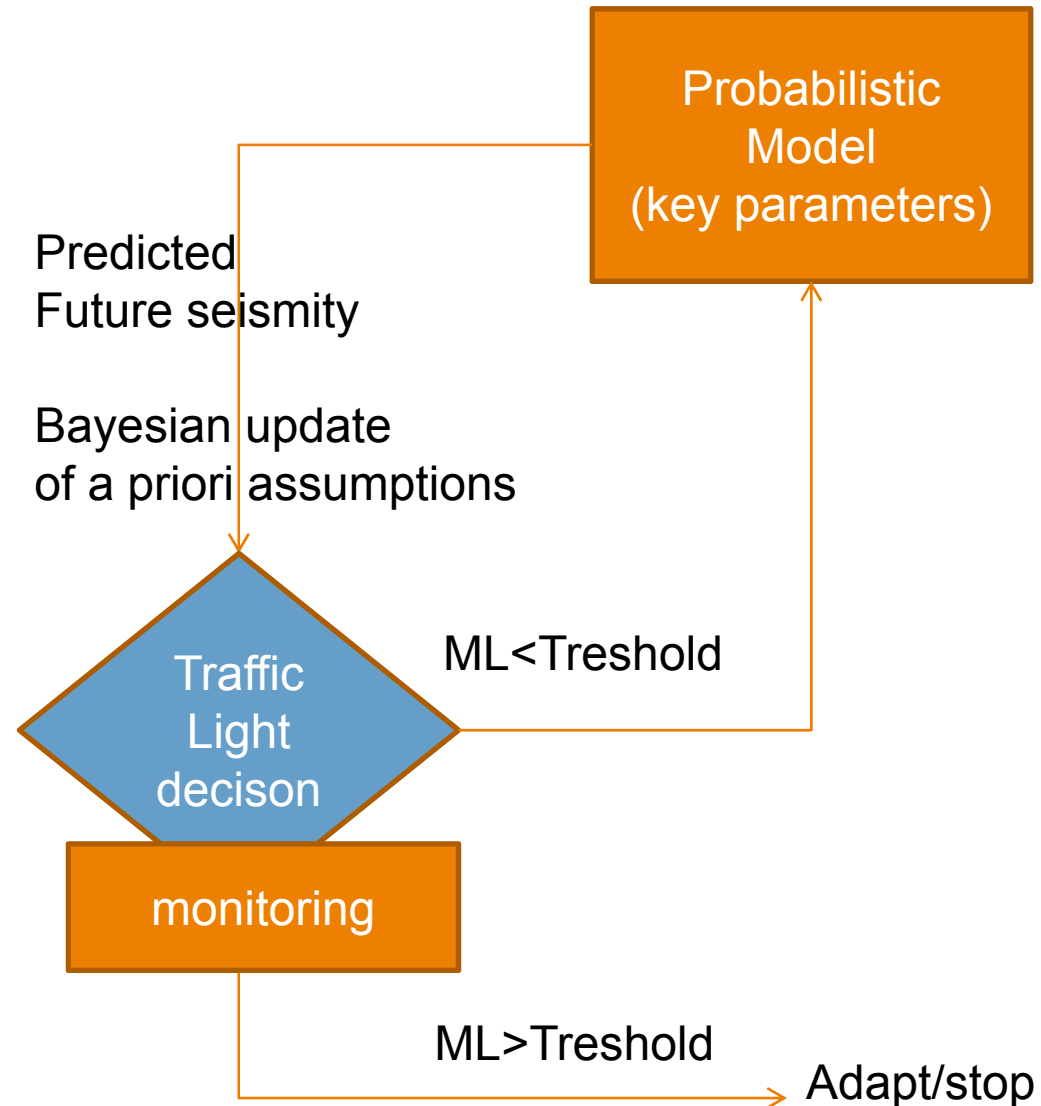


Matching concepts in seed and fault populated models (inverse power-law of fault dimensions)



Dynamic traffic light system: *prediction of seismicity based on key parameters and monitoring sofar.*
 Treshold ML to stop operations is related to predicted value, not observed ML (**US protocol recommends 0.9 difference**)

Model based/PSHA



Summary

- › **What are key parameters ?**
- › **Which are the most important?**
- › **How can they be practically be used for a) Real-time tools to monitor the evolution of induced microseismicity and b) boundary conditions for regulatory guidelines**
- › What are remaining gaps and research needs?